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# **Application of Zhou's Balanced Truncation Algorithm for Controlling the Balance of Bicyrobot**

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https://doi.org/10.18280/jesa.560207	ABSTRACT
Received: 5 December 2022 Accepted: 12 April 2023	The article introduces a bicyrobot built on the principle of gyroscope. A robust optimal controller has been designed to maintain a stable balance of the bicyrobot. However, the
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### Keywords:

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The article introduces a bicyrobot built on the principle of gyroscope. A robust optimal controller has been designed to maintain a stable balance of the bicyrobot. However, the disadvantage of the controller is the high order. Therefore, the paper has applied Zhou's balanced truncation algorithm to reduce the order of high order controller. The results of order reduction of the high order controller show that the 3<sup>rd</sup>-order controller can replace the high order controller. The bicyrobot balance control system using a 3<sup>rd</sup>-order controller has the same quality as the bicyrobot balance control system using a high order controller.

# 1. INTRODUCTION

Two-wheeled mobile robot has the advantage of flexibility and fast acceleration. However, the difficult problem of twowheeled mobile robot is maintaining robot balance when the vehicle is stationary, when the vehicle is moving. Among the two-wheeled mobile robots, the bicyrobot is one of the robots that is of great interest to research [1-14].

There are many different solutions proposed to maintain balance for the bicyrobot, namely balance by moving the center of gravity [1-3], balance by centripetal force [4], balance by using a flywheel [5-14]. With the requirement that the robot needs to maintain balance even when stationary, the balancing method using the flywheel [5-14] has the best response, and this method also has a fast response. Therefore, it is appropriate to design bicyrobot balance control by flywheel method.

The balancing method using the flywheel is divided into two groups of methods.

The first method: using the flywheel according to the gyroscope principle [4-11].

The second method: using the flywheel according to the inverted pendulum principle [12-14].

The advantage of the first method is that it has a large balancing torque and high stability, but the disadvantage is that the energy consumed for the system is high.

The advantage of the second method is that the response of the system is fast, the energy consumption is low, but the balancing torque is not large.

To control bicyrobot, many algorithms have been proposed such as nonlinear algorithm [6], pole trajectory [7], PD algorithm [10], robust control algorithm [11-14], however, with the working environment of the two-wheeled mobile robot being an uncertain environment, the robust optimal control algorithm [11-14] is the most suitable for the twowheeled mobile robot model. Robust optimal control theory is a modern control theory for designing optimal and stable controllers for control objects whose parameters change or are affected by external noise. However, the controller design according to the robust optimal control theory often obtains a high-order controller (the order of the controller is defined as the order of the sample polynomial). The high controller level has many disadvantages when we carry out the control on the robot, because the program code is complicated, the calculation time is long, so the response of the system will be slow. Therefore, reducing the model order [15-17] and reducing the controller order [11-14] while still ensuring the quality has a practical significance.

## 2. BICYROBOT MODEL AND BICYROBOT BALANCE CONTROLLER

In the study [11], a bicyrobot model was built at Mechatronics Laboratory, Asian Institute of Technology (AIT) on a children's bicycle model. The vehicle model is designed to be able to go straight, reverse, and carry loads without falling down.



Figure 1. Bicyrobot model [11]

On the bicyrobot is arranged a flywheel, which is powered by a DC servo motor (Figure 1). The wheel always rotates at a fixed speed and will produce a constant torque. Use a DC motor to change the tilt angle of the flywheel along the Z axis (vertical axis from end to end of bicyrobot). The principle of balance of the bicyrobot is as follows: When the bicyrobot deviates from the equilibrium position, the gravity of the bicyrobot will tend to pull the bicyrobot down. In order for the bicyrobot to be balanced, the control system will measure the tilt angle of the bicyrobot ( $\theta$ ), then the control system will control the DC motor (change in motor input voltage–U) to change the tilt angle of the flywheel along the axis Z, the angular momentum in the Z axis produces a torque. This torque is called precession torque generated by gyroscope principle. The torque will balance with the torque generated by the bicyrobot's gravity, helping the bicyrobot return to the balanced position.

In the study [11], the authors modeled the bicyrobot in the unloaded state and obtained the following results:

$$W(s) = \frac{\theta(s)}{U(s)} = \frac{4887}{s^4 + 683.3s^3 + 1208s^2 + 109700s - 6949}$$

In which,  $\theta(s)$  is the tilt angle of the bicyrobot; U(s) is DC motor input voltage. Details of the model building process and parameters of the bicyrobo model can be found in the study [11].

Bicyrobots in actual operation will be subject to many uncertainties such as changing loads, changing environmental conditions, so bicyrobots can be considered as uncertain models.

In the study [11], to control the balance of bicyrobots, the controller is designed according to the robust optimal control algorithm with the following control structure diagram (Figure 2).



Figure 2. Schematic diagram of the balance control structure bicyrobot [11]

where,

r - is reference tilt angle of bicyrobot

e(t) is tilt angle error of bicyrobot

U – is input voltage of DC motor

 $\theta$  - is tilt angle of bicyrobot

Designing a bicyrobot balance controller according to a robust optimal control algorithm, the authors [11] obtained the following results:

$$R_{c}(s) = \frac{A(s)}{B(s)}$$

$$A(s) = 1275s^{5} + 8.695.10^{5}s^{4} + 5.151.10^{5}s^{3} + 1.359.10^{8}s^{2} + 2.435.10^{7}s + 1.091.10^{6}$$

$$B(s) = s^{6} + 715.7s^{5} + 2.355.10^{4}s^{4} + 2.789.10^{5}s^{3} + 3.802.10^{6}s^{2} + 6.519.10^{5}s + 2.872.10^{4}$$

### **3. ZHOU'S BALANCED TRUNCATION ALGORITHM**

Zhou's balanced truncation algorithm [15, 16] is built on the basis of the balanced truncation algorithm [17]. The disadvantage of the balanced truncation algorithm [17] is that it only applies to stable linear systems. Zhou's balanced truncation algorithm can reduce order for both stable linear and unstable linear systems.

The content of Zhou's balanced truncation algorithm is as follows:

Input: Consider a linear, continuous, time-invariant parameter system with many inputs and many outputs, described in state space by the following system of equations:

$$\dot{x} = \mathbf{A}x + \mathbf{B}u$$
$$y = \mathbf{C}x$$

In which,  $x \in R^n$ ,  $u \in R^p$ ,  $y \in R^q$ ,  $A \in R^{nxn}$ ,  $B \in R^{nxp}$ ,  $C \in R^{qxn}$ . The order of the system is n.

Step 1. Determine the two matrices **X** and **Y** by solving the following system of equations:

$$XA + A'X - XBB'X = 0$$
$$AY + YA' - YC'CY = 0$$

Step 2. Let F = -B'X and L = -YC'

Step 3. Determine the control gramian matrix  $\mathbf{P}$  and the observed gramian matrix  $\mathbf{Q}$  by solving the following system of equations:

$$(\mathbf{A} + \mathbf{BF})\mathbf{P} + \mathbf{P}(\mathbf{A} + \mathbf{BF})' + \mathbf{BB} = 0$$
$$\mathbf{Q}(\mathbf{A} + \mathbf{LC}) + \mathbf{A}(\mathbf{A} + \mathbf{LC})' + \mathbf{C}'\mathbf{C} = 0$$

Step 4. Analyze the following matrices

Matrix Cholesky Analysis  $P = RR^T$ , with **R** is the upper triangular matrix.

Matrix SVD analysis  $RQR^T = U\Lambda V^T$ .

Step 5. Calculate matrix  $L = V^{1/2}$ .

Calculate non-degenerate matrix  $T^{-1} = R^T U L^{-1/2}$ .

Step 6. Calculate  $(\mathbf{A}, \mathbf{B}, \mathbf{C}) = (\mathbf{T}^{-1}\mathbf{A}\mathbf{T}, \mathbf{T}^{-1}\mathbf{B}, \mathbf{C}\mathbf{T}).$ 

Step 7. Choose r such that r < n, r is the order of the order reduction system.

The representation of (A,B,C) in block form is as follows:

$$\mathbf{A} = \begin{bmatrix} \mathbf{A}_{11} & \mathbf{A}_{12} \\ \mathbf{A}_{21} & \mathbf{A}_{22} \end{bmatrix}, \mathbf{B} = \begin{bmatrix} \mathbf{B}_1 \\ \mathbf{B}_2 \end{bmatrix}, \mathbf{C} = \begin{bmatrix} \mathbf{C}_1 & \mathbf{C}_2 \end{bmatrix},$$

where,  $\boldsymbol{A}_{11} \in R^{rxr}$ ,  $\boldsymbol{B}_1 \in R^{rxp}$ ,  $\boldsymbol{C}_1 \in R^{qxr}$ .

Output: The order reduction system  $(\boldsymbol{A}_{11}, \boldsymbol{B}_1, \boldsymbol{C}_1)$ .

# 4. RESULT OF REDUCING ORDER OF BICYROBOT BALANCE CONTROLLER

Performing order reduction of the 6<sup>th</sup>-order robust optimal controller according to Zhou's balanced truncation algorithm, the results are as follows:

Step 1. Matrix matrices **X** and **Y**:

	0.0034	0.0157	0.0114	0.0079	0.0088	0.0078
<b>X</b> =	0.0157	0.0937	0.0688	0.0484	0.0530	0.0469
	0.0114	0.0688	0.0751	0.0601	0.0610	0.0538
	0.0079	0.0484	0.0601	0.1229	0.1226	0.1081
	0.0088	0.0530	0.0610	0.1226	0.6951	0.6205
	0.0078	0.0469	0.0538	0.1081	0.6205	4.5791
	0.0021	-0.0023	-0.0167	0.0004	0.0030	0.0006
	-0.0023	0.0279	-0.0053	-0.1014	-0.0015	-0.0006
<b>V</b> –	-0.0023 -0.0167	0.0279 -0.0053	-0.0053 0.4075	-0.1014 -0.0312	-0.0015 -0.0868	-0.0006 0.0032
<b>Y</b> =	-0.0023 -0.0167 0.0004	0.0279 -0.0053 -0.1014	-0.0053 0.4075 -0.0312	-0.1014 -0.0312 0.6866	-0.0015 -0.0868 -0.2296	-0.0006 0.0032 -0.4141
$\mathbf{Y} =$	-0.0023 -0.0167 0.0004 0.0030	0.0279 -0.0053 -0.1014 -0.0015	-0.0053 0.4075 -0.0312 -0.0868	-0.1014 -0.0312 0.6866 -0.2296	-0.0015 -0.0868 -0.2296 3.4409	-0.0006 0.0032 -0.4141 -1.9749

Step 2. The matrices  $\mathbf{F}$  and  $\mathbf{L}$  are defined as follows:

 $\mathbf{F} = \begin{bmatrix} -0.4320 & -2.0086 & -1.4645 & -1.0151 & -1.1252 & -0.9966 \end{bmatrix}$ 

	9.0849	0	0	0	0	0]
	0	2.4594	0	0	0	0
т_	0	0	1.5076	0	0	0
L –	0	0	0	0.0179	0	0
	0	0	0	0	0.0002	0
	0	0	0	0	0	0

Step 3. The control gramian matrix  $\mathbf{P}$  and the observed gramian matrix  $\mathbf{Q}$  are as follows:

	11.1786	0.0000	-1.9446	-0.0000	0.0280	0.0000
D	0.0000	3.8892	-0.0000	-1.7926	0.0000	0.0024
	-1.9446	-0.0000	7.1704	0.0000	-0.6071	0.0000
r =	-0.0000	-1.7926	0.0000	4.8565	-0.0000	-0.0963
	0.0280	0.0000	-0.6071	-0.0000	0.7702	-0.0000
	0.0000	0.0024	0.0000	-0.0963	-0.0000	0.1114
	0.7539	4.0166	0.0303	0.6022	0.0546	0.0099
	4.0166	21.4076	0.2402	3.2096	0.2910	0.0528
<b>Q</b> =	0.0303	0.2402	0.8415	0.0469	0.0035	0.0006
	0.6022	3.2096	0.0469	0.9802	0.0892	0.0162
	0.0546	0.2910	0.0035	0.0892	0.0086	0.0016
	0.0099	0.0528	0.0006	0.0162	0.0016	0.0003

Step 4 - Step 5. The transition matrix  ${\bf T}$  has the following form:

	-0.2878	-1.5333	-0.0114	-0.2197	-0.0199	-0.0036
	0.0002	-0.0540	-0.5844	-0.0083	-0.0002	-0.0000
т_	-0.0309	-0.1644	-0.0135	-0.5994	-0.0547	-0.0100
1 =	0.0001	-0.0007	-0.0132	0.0008	-0.1564	-0.0565
	-0.0036	0.0022	-0.0011	0.0008	-0.0007	-0.0003
	-0.0000	0.0000	-0.0000	0.0000	-0.0000	0.0002

Step 6. The system is in equilibrium:

$$\mathbf{A} = \begin{bmatrix} -33.5488 & -10.0630 & -1.4287 & 0.1713 & -1.3186 & 0.0001 \\ 25.4119 & -0.0475 & -9.3031 & 0.0212 & -0.1632 & 0.0000 \\ -3.6407 & 14.6874 & -0.1800 & 0.0257 & -0.2272 & 0.0000 \\ 0.5301 & -0.0330 & 0.3351 & -0.0995 & 1.4997 & -0.0001 \\ -1.3457 & 1.0529 & -0.6188 & 3.4541 & -681.7340 & 0.0833 \\ -0.0001 & 0.0000 & -0.0001 & 0.0001 & -0.0948 & -0.0902 \end{bmatrix}$$
$$\mathbf{B} = \begin{bmatrix} -36.8367 \\ 0.0209 \\ -3.9522 \\ 0.0140 \\ -0.4623 \\ -0.0000 \end{bmatrix}$$

 $\mathbf{C} = \begin{bmatrix} -34.5138 & -0.1458 & -0.8641 & 0.0590 & -0.4592 & 0.0000 \end{bmatrix}$ 

Step 7. The results of order reduction of the 6th order robust optimal controller are shown in Table 1 as follows:

Table 1.	The results	of order 1	reduction	of the (	5 <sup>th</sup> -order	robust
		optimal	controlle	r		

Order	Rr(s)	$\ \mathbf{R}-\mathbf{R}_{\mathbf{r}}\ _{\infty}$
4	$1275s^3 + 356.8s^2 + 1.993.10^5s + 1.922.10^4$	0.0702
	$s^4 + 33.88s^3 + 398.1s^2 + 5544s + 507$	
3	$1275s^2 + 231.2s + 1.993.10^5$	1.7868
	$s^3 + 33.78s^2 + 394.8s + 5504$	
2	1271s + 204	37.1945
	$s^2 + 33.6s + 257.3$	
1	1271	37.9080
	<i>s</i> + 33.55	

# **5. REVIEW OF LOW - ORDER ROBUST OPTIMAL CONTROLLER**



**Figure 3.** Step response of the 4<sup>th</sup>-order robust optimal controller, the 3<sup>rd</sup>- order robust optimal controller and the 6<sup>th</sup>-order robust optimal controller

To evaluate the low-order robust optimal controllers, using Matlab-Simulink software we compare the step response and bode response of the low-order robust optimal controllers with the original controller, the results are shown in Figure 3-Figure 6 as follows.

According to the results in Figure 3: The step response of the  $4^{\text{th}}$ -order robust optimal controller, the  $3^{\text{rd}}$ -order robust optimal controller completely coincides with the step response of the  $6^{\text{th}}$ -order robust optimal controller.



**Figure 4.** Step response of the 4<sup>th</sup>-order robust optimal controller, the 3<sup>rd</sup>- order robust optimal controller and the 6<sup>th</sup>-order robust optimal controller



**Figure 5.** Bode response of the 4<sup>th</sup>-order robust optimal controller, the 3<sup>rd</sup>- order robust optimal controller and the 6<sup>th</sup>-order robust optimal controller

According to the results in Figure 4: Step response of the 2<sup>nd</sup>-order robust optimal controller, the 1<sup>st</sup>- order robust optimal controller has a large deviation from the step response of the 6<sup>th</sup>-order robust optimal controller.

According to the results in Figure 5: The bode response of the  $4^{\text{th}}$ -order robust optimal controller, the  $3^{\text{rd}}$ -order robust optimal controller completely coincides with the step response of the  $6^{\text{th}}$ -order robust optimal controller.

According to the results in Figure 6: Bode response of the  $2^{nd}$ -order robust optimal controller, the  $1^{st}$ - order robust optimal controller and the  $6^{th}$ -order robust optimal controller.

In the frequency range  $\omega$ > 30.5 rad/s, the frequency response of the 2<sup>nd</sup>-order robust optimal controller coincides with that of the 6<sup>th</sup>-order robust optimal controller.

In the frequency range  $\omega < 30.5$  rad/s, the frequency response of the  $2^{nd}$ -order robust optimal controller deviates from the  $6^{th}$ -order robust optimal controller's frequency response.



**Figure 6.** Bode response of the 2<sup>nd</sup>-order robust optimal controller, the 1<sup>st</sup>- order robust optimal controller and the 6<sup>th</sup>- order robust optimal controller

In the frequency range  $\omega < 0.0752$  rad/s and  $\omega > 89.7$  rad/s, the frequency response of the 1<sup>st</sup>-order robust optimal controller coincides with that of the 6<sup>th</sup>-order robust controller. In the frequency range 0,0752 rad/s<  $\omega < 89,7$  rad/s, the frequency response of the 1<sup>nST</sup>-order robust optimal controller deviates from the 6<sup>th</sup>-order robust optimal controller's frequency response.

### 6. USING LOW- ORDER ROBUST OPTIMAL CONTROLLER TO CONTROL THE BALANCE OF THE BICYROBOT

Simulink diagram of the bicyrobot balance control system using a robust 3<sup>rd</sup>-order robust optimal controller and a 6<sup>th</sup>-order robust optimal controller is shown in the following Figure 7.

The response result of the bicyrobot balance control system when using the  $3^{rd}$ -order robust optimal controller and when using the  $6^{th}$ -order robust optimal controller is shown in the following Figure 8.

According to the results in Figure 8: The step response of the bicyrobot control system using a 3<sup>rd</sup>-order robust optimal controller completely coincides with the step response of the bicyrobot control system using a 6<sup>th</sup>-order robust optimal controller.

Simulink diagram of the bicyrobot balance control system using a robust 1st-order robust optimal controller and a 6thorder robust optimal controller is shown in the following Figure 9.



Figure 7. Simulink diagram simulating a bicyrobot balance control system using a robust 3<sup>rd</sup>-order robust optimal controller and a 6<sup>th</sup>-order robust optimal controller



**Figure 8.** The step response of the bicyrobot balance control system when using the 3<sup>rd</sup>-order robust optimal controller and when using the 6<sup>th</sup>-order robust optimal controller



Figure 9. Simulink diagram simulating a bicyrobot balance control system using a robust 1st-order robust optimal controller and a 6th-order robust optimal controller

The response result of the bicyrobot balance control system when using the 1<sup>st</sup>-order robust optimal controller and when using the 6<sup>th</sup>-order robust optimal controller is shown in the following Figure 10.

According to the results in Figure 10, the bicyrobot balance control system using 1<sup>st</sup>-order robust optimal controller does not guarantee stable balancing of the bicyrobot.



**Figure 10.** The step response of the bicyrobot balance control system when using the 1<sup>st</sup>-order robust optimal controller and when using the 6<sup>th</sup>-order robust optimal controller

### 7. CONCLUSIONS

Design a bicyrobot balance controller according to the robust optimal control algorithm to obtain a 6<sup>th</sup>-order robust optimal controller. The 6th-order robust optimal controller when applying the real control of the bicyrobot balancing system will face many disadvantages, so it is necessary to reduce the order of this controller. The 6<sup>th</sup>-order robust optimal controller is a stable linear system. Zhou's balanced truncation algorithm can be applied to reduce the order of both stable and unstable linear systems. Applying Zhou's balanced truncation algorithm to reduce the order of the 6<sup>th</sup>-order robust optimal controller will hel9p to obtain order reduction systems with small errors. Using low-order robust optimal controllers to control the balance of the bicyrobot shows that: The step response of the system when using the 3rd-order robust optimal controller completely coincides with the step response of the system when using the 6<sup>th</sup>-order robust optimal controller. The 1<sup>st</sup>-order robust optimal controller cannot control the balance of the bicyrobot. The 3<sup>rd</sup>-order robust optimal controller is the most suitable controller to replace the 6<sup>th</sup>-order robust optimal controller. The simulation results show the correctness of Zhou's balanced truncation algorithm and the robust optimal control algorithm.

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