

Structural Changes Undergone During Thermal Aging and/or Processing of Unstabilized, Dry-blend and Rigid PVC, Investigated by FTIR-ATR and Curve Fitting

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ABSTRACT

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Thermal aging below the glass transition temperature (T_g), during three months, of Poly (vinyl chloride) (PVC) under its three different aspects, powder (p-PVC), dry-blend and Unplasticized (u-PVC) or rigid form was performed. The stabilizing system used is a commercial compound constituted by lead based stabilizers, internal and external lubricants. The ageing of PVC under these three different aspects was performed in a thermo-regulated oven at 60 °C, temperature close of the ground temperature in Sub-Sahara region (Ghardaïa, Algeria). A sampling at regular interval allowed following the microstructural changes undergone by these materials. Such an approach revealed that the additives used in the PVC recipe not only assist processing but also ensured a good material stabilization when thermal aged. The results obtain can help producers to modify the PVC recipe to improve the heat resistance of the PVC tubes to make them more performing in the very hot and deleterious Saharan environment.

1. INTRODUCTION

Water transportation in sub-Sahara region is of prime importance in terms of water saving and rainfall deficit. PVC tubes convey fresh water to agricultural surfaces to ensure their irrigation; they are also used for wastewater evacuation. PVC is one of the most widely used thermoplastics material, because of its good mechanical properties, chemical resistance, ease of processing and low cost [1-6].

However, it cannot be transformed as tube without a stabilization system since the heat needed during its implementation combined to the shearing effects of the extrusion-screw lead to an irremediable degradation of this material inside the processing tool [7, 8].

It has been demonstrated that the stabilization system is not entirely consumed during processing. The unreacted concentration can also prevent the material degradation in its environment of use. In fact, during the last decades, the ability of stabilized PVC to withstand aggressive environments has been the subject of many studies [1, 9-12].

A case study is the use of this product in a sub-Sahara region (at Ghardaïa, 32°29'00" Nord, 3°41'00" Est., Algeria) where during summer (i.e. June, July and August) the daily insolation can last 13 hours, and the ground surface storing solar energy can reach temperature exceeding 50 °C and even 57 °C at 20 cm depth. Even during the night, the stored energy is released and the ground temperature remains high. Moreover, according to the Stefan-Boltzmann law, the black color of the tube acts as a blackbody absorbing the incident solar energy during the day [13]. Therefore, the exposed surface of the tube can reach temperature around 60°C. During summer buried or on ground the plastic tubes are subjected to heat stresses leading to degradation.

PVC tube and pipes used to convey hot water in some factories in Ghardaïa (plastic-plants, gypsum-plant, etc.), show crazes on their surfaces, and for longer time of use,

cracks occur leading to water leak and requiring thus their replacement. Therefore, the understanding of the impact of heat on the service life-time of those PVC tubes becomes important for the assessment of the periodicity of their replacement and their impact on the environment. The understanding of the degradation mechanisms and/or stabilization of this material can contribute to prevent an early degradation by avoiding exposure to deleterious environmental factors. In this way one can contribute to decrease the amount of rejected plastic only by rational use.

Therefore the study of this material's degradation under its different aspects i.e. pure PVC powder, powder mixed with stabilizers and fillers and finally the processed mixture gives a good insight of how the different components of the PVC tube behave individually to the thermal aging. Finally, it allows understanding how stabilizers assist processing, but also how they prevent PVC aging during heat stress.

2. EXPERIMENTAL

2.1 Material and processing

The pristine PVC powder used in this study is (Oxyvinyls-225P; PVC-S; OxyVinyls, LP-Dallas, Texas). The stabilized grade (Dry-blend) is a mixture of PVC powder with filler, lubricants and lead based heat stabilizers. The concentration in weight of the different constituents in the recipe was as follows:

86 % PVC powder; 2 % of the as received stabilizer system (tribasic lead sulfate/lubricant; Chemson-Polymer Additive AG, Austria); 5 % Calcium carbonate filler (OMYALITE-95T, Omya-SAS, Paris, France); 7 % Carbon black.

Processing. The above products in their respective proportion were blended in a plastification high speed mixer (plas-mec, SN: 2998; Pozzolo-Varese, Italy) during 10 minutes at ($T \leq 120$ °C) and a rotation speed around 1500 rpm.

This step was carried out to ensure a good distribution of the additives between macromolecular grains of PVC.

The dry-blend obtained was poured in another mixer and gradually cooled till 40 °C. Then, the whole was processed as tubes in a twin-screw extruder (Cincinnati, Germany) at 175 °C (see Figure 1). These unplasticized PVC (u-PVC) tubes for water transportation are manufactured by (PLASTUB-society, Ghardaïa, Algeria) according to the ISO: EN-1452-2 standard.

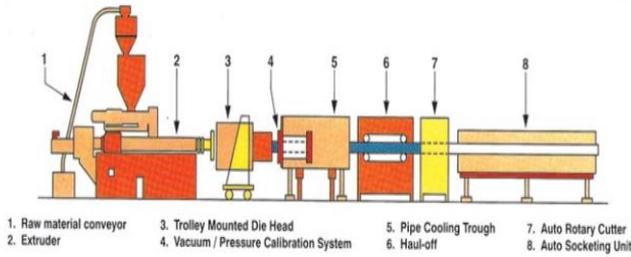


Figure 1. Typical scheme of (u-PVC) tube extrusion system [14]

Table 1 collects some physical and thermal properties of pristine PVC before processing and those of the stabilized PVC transformed into rigid tube. These properties are provided first by the raw material supplier (oxyvinyls, LP) via a certificate of analysis of the pristine PVC and secondly by the transformer (PLASTUB-SARL) providing a datasheet of the PVC tube. It can be still mentioned that the glass transition temperatures of the two PVC types were determined by DSC analysis, performed by some of us in laboratory.

Table 1. Some physical and thermal properties of pristine PVC and stabilized rigid PVC

Property	Pristine PVC	Rigid PVC	Test Method ¹
Apparent bulk density [kg/m ³]	535	1390-1450	ISO 1183
Inherent viscosity [m ³ /kg]	0,09	0,114	ISO 1628-2
K-value	65	67	DIN 53726
Glass transition temperature ² [°C]	79,25	79,41	/
Specific heat [kJ/(kg.°C)]	/	0,10042	/
Softening temperature (Vicat 5 kg) [°C]	/	≥ 80	BS EN 727
Thermal conductivity [W/(m.K)]	/	0,13	DIN 52612
Coefficient of thermal expansion [°C ⁻¹]	/	7x10 ⁻⁵	DIN 53752

Note: The test methods mentioned in the (tab.1) are only for rigid PVC

2.2 Thermal aging

Thermal aging was performed on the PVC under its three different aspects, pristine PVC powder (p-PVC), Dry-blend and u-PVC.

To perform thermal aging, the two unprocessed products (i.e. Dry-blend, p-PVC) were poured in glass petri dishes before being introduced in the oven, while the rigid aspect test pieces were cut from the tube by means of a programmed mill cutter.

The oven temperature (Mettmert, F.Nr207.0509E) was fixed at 60 °C and aging took place during 90 days. A regular sampling of each type of the products was performed every 30 days.

2.3 Characterization techniques

(1) Fourier transforms infrared (FTIR) spectroscopy

A Spectrum Two FTIR spectrometer, equipped with the UATR-Two maintained by Perkin Elmer (USA), was used to perform the infrared analysis. The ATR-spectra were obtained by analyzing the samples surfaces in contact with a diamond crystal. The infrared spectra were collected in transmission mode over the wavenumber range from 4000-400 cm⁻¹ at a 4 cm⁻¹ optical resolution and using 32 scan repetitions. A separate background spectrum was subtracted in each collection. The specific absorption peaks were analyzed from the FTIR spectra delivered by the equipment. For each peak the optical density (OD) was determined following the usual definition as in Eq. (1) [15].

$$OD = \ln\left(\frac{I_0}{I}\right) \quad (1)$$

where, I₀: the reference infrared intensity corresponding to the baseline of the spectrum at the peak wavenumber. I: the minimum intensity at the base of the peak.

Mathematical curve-fitting. In order to identify the species constituting the complex absorption bands in the different regions of the FTIR spectrum, a mathematical curve-fitting was performed to identify the initial existing products and those evolving during aging. The curve-fitting was performed using the second derivative method in the Origin.Pro software package (version 9.0). The quality of the fit is controlled using the determination coefficient, which was R² > 0.998 for the absorption band of each sample. The residual sum of the squares was determined to be Chi-square <10⁻⁵ [16].

(2) Mechanical testing

Tensile tests on u-PVC were run with a (Rochdale machine 500-50AT, England), accordingly to the ISO 6259-2 standard with dumbbell shaped test pieces cut out of the original PVC tubes. The aspect and the dimensions of the test pieces are indicated in (Figure 2).

All the tests were conducted at (T = 23°C ± 2°C) and at a relative humidity (RH ≈ 30 % ± 5 %). The results of the tensile test are presented in terms of the nominal stress Eq. (2) and nominal strain Eq. (3). The elastic modulus was determined as the initial slope of the σ(ε) curve.

$$\sigma = \frac{F}{(W_0 \times T_0)} \quad (2)$$

where, W₀: Width of the narrow section. T₀: Thickness sample.

$$\varepsilon = \left(\frac{L}{L_0}\right) - 1 \quad (3)$$

where, L₀: initial distance between grips. L: current distance between grips.

To optimize the duration of the tests, the strain rate was fixed at a low value to the yield point (dε/dt = 1 × 10⁻³ s⁻¹) and

and two other peaks at 875 cm^{-1} ($\delta_{\text{out-of-plane CO}_3}$) and 712 cm^{-1} ($\delta_{\text{in-plane OCO}}$) [34-38].

Dry-blend. The dry-blend shows a particular IR spectrum (b) a combination between the spectrum of the p-PVC and the others additives. The peaks belonging to the stabilizer and the CaCO_3 are less intensive in the dry-blend because of their lower concentration (4 wt %) moreover a new peak appears. In fact, a composite absorption band centered at 1425 cm^{-1} is observed. It encompasses the vibrations of CH_2 in the p-PVC, (C-H) in the stabilizer and CO_3^- in CaCO_3 [17, 21, 34, 35]. In the following to highlight the effect of the stabilizer on PVC during processing a comparison between the dry-blend and u-PVC has been done. Then, to see its effect during thermal aging a comparison between the p-PVC, dry blend and u-PVC was also performed.

3.2 Effect of processing on the PVC structure when transformed into rigid tube

In (Figure 4) are reported the IR-spectra of the dry-blend and that of u-PVC. After processing the material was slightly oxidized because of the thermo-mechanical shearing developed inside the extruder. The damages caused to the macromolecular chains are scissions, oxidation by reaction with environmental oxygen and replacement of labile chlorine by (COO^-) provided by the stabilizer.

Therefore processing has modified the global aspect of the IR spectrum, the absorption bands belonging to the carboxylate ($1500\text{-}1620\text{ cm}^{-1}$) became visible.

A new wide absorption band around 3400 cm^{-1} inexistent in the dry-blend develops in u-PVC and belongs to hydroperoxides. The characteristics peaks of the PVC and its additives ($610, 875, 965, 1101, 1198, 1253, 1329, 1425, 2853$ and 2918 cm^{-1}) became also bigger and more intensive in u-PVC [18, 19, 21, 27].

The changing aspect of the IR spectrum revealed that processing enhanced the signal of the characteristic peaks of either the PVC or stabilizer due to chemical reactions. Moreover, the dry-blend offers fewer surfaces in contact with the crystal of the apparatus than u-PVC.

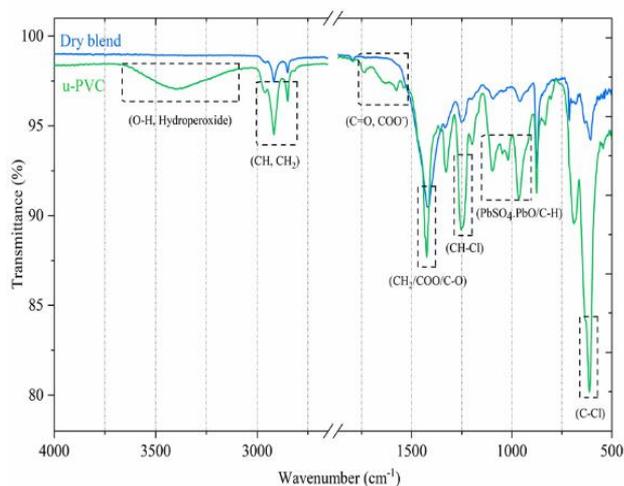


Figure 4. FTIR-ATR spectra of the dry-blend and u-PVC after processing

Curve-fitting to identify the stabilizer reactions during processing. The knowledge of the stabilization mechanism

under heat stress degradation is very important to estimate the effectiveness of stabilizer system during processing. It has been reported that under the combined effect of the screw-extrusion shearing and heat of the barrel into the processing tool, the stabilizer compound may replace labile chlorines, scavenges evolved hydrogen chloride (HCl), blocks the growing polyenes and destroy peroxides and/or peroxy-radicals [39].

The stabilization reactions were revealed by the changing aspect of the IR spectrum of u-PVC in the ($1500\text{-}1800\text{ cm}^{-1}$) range. In fact this spectrum region encompasses all the vibrations of the carboxylates and carbonyls. To highlight all the oxidation products formed via the PbSt_2 and CaSt_2 reaction with PVC during processing or merely by polymer oxidation, a mathematical curve-fitting using the second derivative method applied to their absorption bands has been performed (Figure 5).

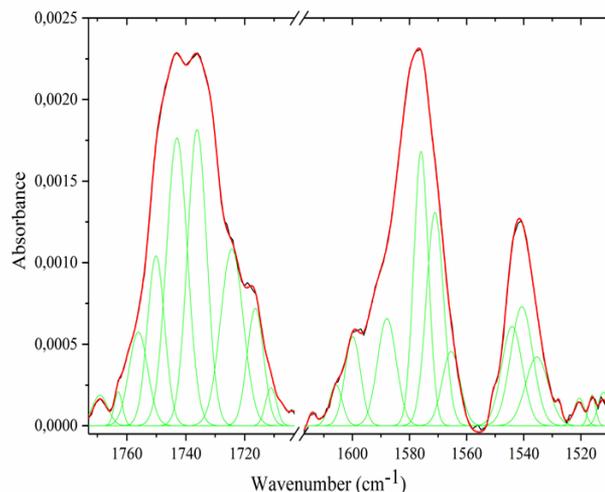


Figure 5. Curve-fitting of u-PVC after processing in the range ($1500\text{-}1800\text{ cm}^{-1}$)

In the interval ($1500\text{-}1680\text{ cm}^{-1}$) a number of different sub-peaks appear beneath the envelope of the original spectrum. The ($\nu_{\text{as COO}^-}$) in PbSt_2 gives rise to IR bands at 1514 and 1540 cm^{-1} [21, 40]. The characteristic peak of CaSt_2 at 1576 cm^{-1} was initially observed in the stabilizer system and dry-blend spectra (Figure 3 and 7). This band in the u-PVC after curve-fitting appears more developed comparatively to the two other products and was assigned to the ($\nu_{\text{as COO}}$) [22, 41]. A new peak appeared at 1544 cm^{-1} , attributed to the intermediate product, lead chloridostearate, ($\text{Pb}(\text{C}_{17}\text{H}_{35}\text{COO})\text{Cl}$), generated by PbSt_2 that neutralized HCl released from the PVC chains Eq. (4, 5) [40].

The spectrum region ($1700\text{-}1800\text{ cm}^{-1}$) is constituted by many sub-peaks belonging to carbonyl groups coming from either the stabilization reactions or merely the oxidation of the polymer chains [40].

The formation of stearic acids (HSt) coming from the HCl-scavenging by the PbSt_2 , CaSt_2 gave rise to two peaks at 1750 and 1708 cm^{-1} due to the monomeric form of this acid for the first and to the dimeric form for the second (Eq. (5, 7) and Eq. (6, 8)) [40, 42].

The absorption band at 1742 cm^{-1} relatively well developed is that of esters, bonded to the PVC chains. These groups come from the substitution of the labile chlorine atoms of the PVC chains by the stearate groups of the PbSt_2 via the Frye-Horst

and 2917 cm^{-1} , corresponding to ($\nu\text{C-H}$) of PVC chains or of the stabilizer, they can also belong to the ($\nu\text{C-O}$) in the CaCO_3 [17, 20, 23, 36]. They increased during the first 60 days of aging and then decrease until the end. It can be noticed that this progression is very similar to that of the (C=O) bond of the monomeric stearic acid (1750 cm^{-1}) (Figure 9). This allows assuming that HSt (Eq. (5), Eq. (7)) can migrate and accumulate outside the tube surface [48]. Indeed, the ATR analysis being carried out by contact with the tube surface, the carboxylate and methylene groups belonging to the fatty acids display a stronger signal.

The decrease of the wide absorption band around 3400 cm^{-1} belonging to the ($\nu\text{O-H}$) of hydroperoxide indicates their progressive decomposition, contributing in the formation of carbonyl groups such as esters, ketones, etc., whose peaks appear in the interval (1700-1780 cm^{-1}) [49].

The third region encompasses the carbonyls and the carboxylate groups (1500-1800 cm^{-1}). The analysis of (Figure 5) let us observe several vibrations corresponding to carbonyl groups, such as the carboxylic acids (1708 cm^{-1}), ketones (1720 cm^{-1}) esters (1742 cm^{-1}), peresters, peracids and γ lactones (1770-1780 cm^{-1}) etc.

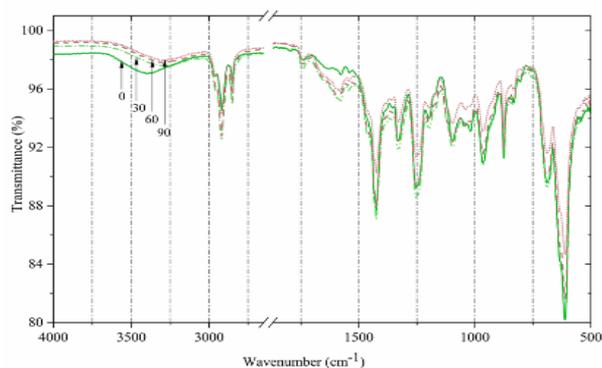


Figure 8. FTIR-ATR spectra of u-PVC for different aging time (0, 30, 60 and 90 days)

In fact, the graphical representation of the integrated peak-area of the HSt, esters and carboxylate sub-peaks as a function of the aging time gives a good insight on the relation existing between the carboxylates consumption and the esters formation (Figure 9).

The sub-peaks at 1514, 1540 and 1576 cm^{-1} of PbSt_2 and CaSt_2 respectively, belonging to their remaining concentration after processing, increase noticeably until 30 days of aging. Such a behavior argues in favor of the migration of the stabilizer from the core of the tube to its surface as described above [48].

The increase of the absorbance at 1750 and 1708 cm^{-1} reveals the formation of monomeric and dimeric HSt respectively, corresponding to the first and second step of the scavenging action of PbSt_2 and CaSt_2 , as described in (Eq(5), Eq. (7)) and in (Eq. (6), Eq. (8)) [40, 42]. The lowering of dimeric HSt may be due to its reaction with the formed calcium chloride Eq. (8) [50].

The decrease of the lead chloridostearate (1544 cm^{-1}) which reaches a minimum during the first 30 days coincide with the maximum of the dimeric HSt formation meaning that Eq. (6) is very effective during this period of time.

The absorbance of ester groups (1742 cm^{-1}) do not change over the first 30 days and it is only after this duration that they

know a significant increase. This coincides with the decrease of the carboxylate absorption at (1514, 1540 cm^{-1}) indicating the formation of esters groups by the reaction of PbSt_2 with PVC chain via the Frye-Horst mechanism similarly to what occurred during processing Eq. (9).

After 60 days despite the stabilizer continue to be consumed the esters start an unexpected rapid decrease. This can be due to the fact that ester groups' consumption is relevant to a dissociation mechanism via the abstraction of methylenic hydrogen atoms as described in Eq. (10) leading to the formation of acid molecules and contributes to increase the polyene sequences [45].

On the other hand, the absorbance of carboxylates of CaSt_2 at 1576 cm^{-1} increases until to reach a maximum at 60 days. As for PbSt_2 this maximum reveals the migration process of the CaSt_2 toward the tube surface, although it lasts a little bit longer (30 days more). This difference in the behavior between these two types of metal stearate can be explained by two reasons:

The concentration of CaSt_2 can be raised via the reaction of the (COO^-) of the fatty acid with CaCO_3 leading to the formation of CaSt_2 Eq. (12) [47] and can contribute to compensates, the consumed amount used to scavenge HCl Eq. (7, 8) and to increase its concentration.

This can also be explained by the fact that PbSt_2 ensures two stabilizing actions which consists in replacing labile chlorine of the PVC chains and HCl-scavenging in the same time. While, CaSt_2 which is rather a lubricant, is also known to relay PbSt_2 in the HCl-scavenging [39].

Therefore, in the beginning of the thermal aging its rate of migration is higher than scavenging since this last action is rather ensured by PbSt_2 . This allows its accumulation on the tube surface. After 60 days, period at which PbSt_2 is relatively well consumed, the CaSt_2 relays the PbSt_2 to scavenge HCl explaining thus its drastic consumption (curve 4, Figure 9).

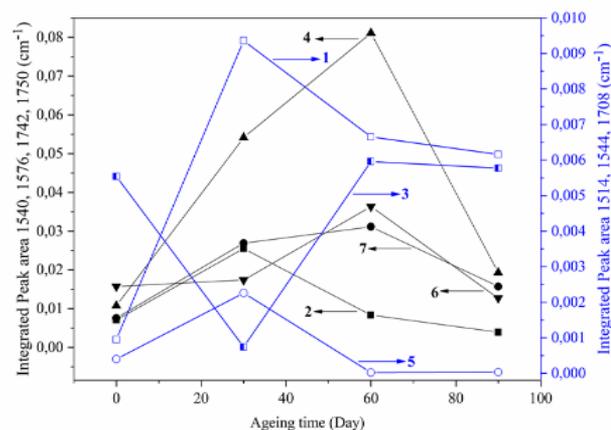


Figure 9. Integrated peak area of the absorption bands of u-PVC at 1) \square 1514 cm^{-1} , 2) \blacksquare 1540 cm^{-1} , 3) \blacksquare 1544 cm^{-1} , 4) \blacktriangle 1576 cm^{-1} , 5) \circ 1708 cm^{-1} , 6) \blacktriangledown 1742 cm^{-1} , and 7) \bullet 1750 cm^{-1} versus aging time

Impact of the thermal aging on the mechanical properties. On (Figure 10) are reported the stress-strain curves of u-PVC thermally aged at 60 $^{\circ}\text{C}$. The unaged material shows a typical viscoelastic behavior [51].

Aging seems to not affect it so significantly. For almost all the curves the first step of the deformation corresponds to the elastic deformation. Beyond the elastic limit the stress goes

through a maximum corresponding to the yield point followed by a plastic deformation. At the beginning of the plastic deformation in the narrow section of the test-piece appears necking which flows along this section [52-54].

At the last stage where no more flow occurs the material slightly stiffen (strain hardening) to suddenly break in a ductile manner. With aging the mechanical behavior changed. The slope of linear elastic portion of the curve increase significantly revealing a stiffening of the material. The yield stress increased as well with the increasing aging time. The portion of the curves corresponding to the plastic deformation, leveled off and remained as such until failure, excepting for the more aged samples where a shy increase of the stress at the end occurred.

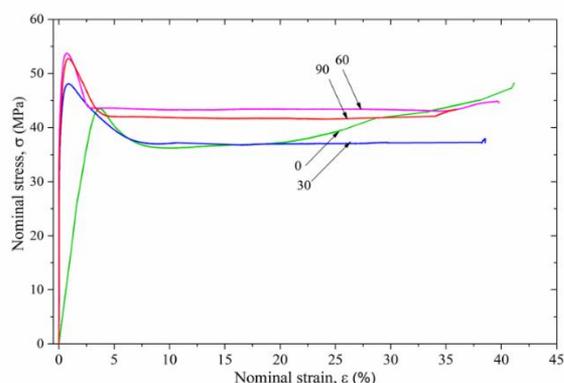


Figure 10. Stress–strain curves of u-PVC after 0, 30, 60 and 90 days of aging

To better show the effects of aging on the mechanical properties, the variation of the E , ϵ_b , σ_y and σ_b with aging time are reported in (Figure 11.A, 11.B).

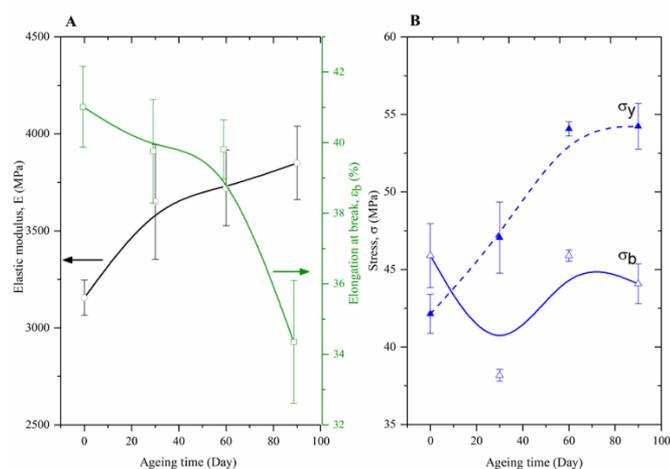


Figure 11. Tensile properties: (A) E , ϵ_b and (B) σ_y , σ_b of u-PVC versus aging time

It can be observed that E increased with aging time. The increase was more important during the first 30 days of aging with a rate of 15.64 %. Then the progression became slower and reached a global increase at the end of the aging of 22 %. The σ_y evolved similarly to E with the increasing aging time. After 60 days it increased by 28.33 %, and then for the last aging stage the progression slowed down. The E and σ_y reveal the increasing elastic character of the material, most probably

because of crosslinking reactions which tend to stiffen it [55, 56]. Khemici et al. have shown that when PVC is aged between 39.5 °C and 80 °C, elastic modulus increases with aging time. They suppose that, this is due to the effect of the molecular mobility diminution and is also related to structural relaxation accompanied by a lowering of the free volume in the material [57].

The σ_b evolved irregularly; after 30 days it decreased by at least 16.82 %, and then increased again to reach after 60 days a value almost equal to that of the beginning. At the last stage, it started a slight decrease but remained not so far from the precedent value.

The increase of E revealed the stiffening of the material. On another hand the ϵ_b decreases progressively during the two first aging stages (≈ 3 %) to suddenly drop at the last stage to about 16.25 %. This mechanical greatness is very sensitive to the microstructural changes caused either by crosslinking or chain scissions reactions since the firsts delay the drop of the ϵ_b and increase the elastic character of the material. Chain scissions tend to lower ϵ_b , which is very sensitive to the damages caused to the material surface and highlights thus the effect of chain scissions which are probably more important at the surface at the end of aging. ϵ_b revealed the decrease of the material ductility [55, 56].

4. CONCLUSIONS

This study is devoted to the thermal aging at 60°C performed on PVC under different aspects (i.e. p-PVC, dry-blend and u-PVC). This allowed seeing how each of these aspects is affected by the thermal aging. Indeed, the p-PVC does not oxidize and the absorption bands of the (C-H) and (C-Cl) decrease in intensity in reason of a dehydrochlorination process. For the dry-blend, the absorption bands of the IR spectrum decrease in intensity. However, an increasing absorption band at 1576 cm^{-1} reveals the reaction of the CaCO_3 with the carboxylate groups of fatty acids leading to the formation of CaSt_2 .

Against all odds, it is the u-PVC which shows the more changing IR spectrum with aging time. It appears a growing carbonyl band due to the combined effect of the surrounding oxygen reacting with the polymeric chains but also by reaction of PbSt_2 via the Frye-Horst mechanism, leading to ester groups formation revealed by an absorption band at (1742 cm^{-1}). Chloridostearate (1544 cm^{-1}) and stearic acids under its monomeric and dimeric form (1750 and 1708 cm^{-1}) are also formed from PbSt_2 by HCl-scavenging.

It has been seen that CaSt_2 provides a lower stabilizing action compared to PbSt_2 since CaSt_2 is rather used as a lubricant but it can also relay PbSt_2 in the HCl-scavenging. The structural changes undergone by the u-PVC affects noticeably the mechanical properties. It has been found that the elastic modulus increases with aging time indicating progressive stiffening due to dehydrochlorination process accompanied by crosslinking reactions. It can also be due to the molecular mobility diminution related to a structural relaxation leading to the material free volume lowering. The irregular variations of the σ_b disclose the competition between the crosslinking reactions and chain scissions. However, the decrease of the ϵ_b , slow in the beginning and abrupt at the end, seems to reveal that crosslinking reactions are more effective in the beginning, while, chain scissions which weaken the material become predominant at the end. The sudden drop of

the ϵ_b after 60 days coincided with the stabilizers consumption which became effective at this same period of time.

Finally, it can be concluded that the comparison of how PVC behaves under its three different aspects in regard to thermal aging gave a good insight on the stabilizer action before PVC processing but also after. It could be still interesting to notice that in a future work it will be tried to show how these stabilizers can migrate toward transported water and how they can adversely affect the environment.

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I	minimum intensity at the base of the peak
F	Force, N
W ₀	width of the narrow section, mm
T ₀	thickness sample, mm
L ₀	initial distance between grips, mm
L	current distance between grips, mm
TBLS	tribasic lead sulfate
PbSt ₂	lead stearate
CaSt ₂	calcium stearate
HSt	stearic acid

NOMENCLATURE

PVC	poly (vinyl chloride)
u-PVC	unplasticized poly (vinyl chloride)
p-PVC	pristine poly (vinyl chloride)
OD	optical density
R ²	coefficient of determination
RH	relative humidity
ISO	international organization for standardization
DIN	deutsches institut für normung
BS EN	british standard european norm
FTIR	Fourier transforms infrared
UATR	universal attenuated total reflectance accessory
I ₀	reference infrared intensity

Greek symbols

σ_y	yield stress, MPa
σ_b	stress at break, MPa
ϵ_b	elongation at break, %
ν	stretching vibration
ν_s	symmetric stretching vibration
ν_{as}	antisymmetric stretching vibration
δ	bending vibration
$\delta_{in-plane}$	in-plane bending vibration
$\delta_{out-of-plane}$	out-of-plane bending vibration