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# A Review on Consequential Life Cycle Assessment in the Power Sector

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The existing policy for greenhouse gas (GHG) abatement aims at decarbonisation of the power sector. The interrelations between the power sector and other economic sectors raise a question of whether the GHG emission reduction policy in the power sector is as effective as it is claimed. Consequential life cycle assessment (CLCA) has been developed to assess the environmental impacts of any industrial/productive sector in relation with changes in the policy and its indirect impacts on other economic sectors. This review is conducted on CLCA studies in the power sector in terms of system boundaries expansion and socio-economic interactions and the ability to quantify indirect environmental impacts. It is indicated that CLCA expanded the system boundaries by applying mutatis mutandis assumption to include several affected products with various scales of change. Economic modelling tools are frequently applied to make assumptions on the extent of change. The applications of these tools also help to identify the environmental profile of product systems and the socio-economic changes such as economic growth and consumer behaviours. Thanks for the expansion of system boundaries and inclusion of socio-economic interactions, the total environmental impacts of power sector are comprehensively quantified. The variations of the total environmental impacts, with different magnitude of change, were observed in several reviewed case studies. In term of GHG emissions, some products become cleaner, for example battery; however, in most of the cases, the power system in general becomes more polluted when indirect impacts on other economic sectors are included.

### **1. INTRODUCTION**

According to UNFCC, the greenhouse gas (GHG) emissions of energy sector accounted for 90% of the total GHG emissions of Annex I countries (including land use, land use change and forestation) in 2018, at 13.47 GtCO<sub>2</sub>e out of 14.91 GtCO<sub>2</sub>e [1]. The power and heat generation sector, in particular, contributed to 9.76 GtCO<sub>2</sub>e in 2017 and increased 2.5% in 2018 [2]. The deployment of renewable energy and energy efficiency technologies help to reduce the emission intensity by 1.3%. However, the total GHG emissions of the sector generally increase due to the growing demand of power [2].

Decarbonisation, sustainable environment, economic prosperity, and social equity are the cores of the current GHG reduction policy [3]. It requires the deep decarbonisation of the economies, in which the energy system as well as other emission intensive sectors need to transform into zero emission ones, while ensuring the economic development and meeting the growing needs of population [4]. To reach the goal of decarbonisation, the industries need to reduce their GHG emission while maintaining the developing trend of the economy. The Deep Decarbonisation Pathway Project countries, which contribute to 74% of global energy-related GHG emissions, sets the objective that by 2050 the GHG emissions of energy sector will be reduced of 46-56% compared to 2010 level, while maintaining the average gross domestic product (GDP) growth of 3.1% and the population growth of 17% annually [4].

The decarbonisation process of the power sector may have some negative environmental impacts on other economic sectors. For instance, the use of bio-power may reduce the GHG emission contribution of the power sector thanks to the substitution effect for fossil-fuel-based power, but such substitution causes eutrophication and acidification due to the extensive energy crop plantation [5]. In such case, the GHG emissions of the power sector will reduce, but other negative environmental impacts within the agriculture sector will increase.

At the same time, as power and energy participate into the supply chain of every product and service, any environmental impacts of power and energy consumption or environmental benefits of energy recovery during the product life cycle will contribute to the environmental impacts or benefits of other product system. Examples in agriculture products, e.g., apples in the North of Italy, indicates that nearly 40% of climate change impacts of these products come from energy consumption during the transportation and another 40% come from power consumption during the storage of post harvesting activities [6]. An example of environmental benefits can be clearly observed in the case of waste for energy in Palermo, in which the global warming impact of conventional waste

management system, with small amount of waste being classified and recycled, triples that of the waste management approaches with material and energy recovery [7].

Life cycle assessment (LCA) quantifies the life cycle environmental impacts of a product system, covering all stages, including raw material extraction and processing; product/ service manufacturing, use and disposal; and transportation [8]. LCA estimates the life cycle environmental burdens of a product system [8]. The comprehensiveness makes LCA a particularly effective mechanism for quantifying different environmental impacts originating from the product's life cycle including indirect impacts.

There are two types of LCA approaches, namely attributional LCA (ALCA) and consequential LCA (CLCA). In the ALCA approach, inputs and outputs of a product system are attributed to its functional unit by linking the unit processes of the system while defining a physical boundary and isolating it from other systems [9]. Meanwhile, CLCA has been developed to quantify the environmental impacts of a product system in relation with changes within its life cycle [9].

Due to the principal differences between the two approaches, it is expected that the obtained results with the application of each approach will be different. This paper reviews CLCA studies in power sector in terms of the obtained results and methodology in order to consider the capability of CLCA approach to model the indirect environmental impacts of the power system and power generation/storage technologies in the context of the existing GHG policy.

### 2. METHODOLOGY

The Glasziou's approach was applied to conduct this review with following steps: (a) developing research questions; (b) finding relevant studies; (c) appraising quality and extracting data; (d) synthesizing and (e) interpreting [10]. The searched terms were "consequential life cycle assessment AND energy sector". The broad term of energy was selected instead of power to avoid missing the studies on several topics including power, for examples, power and heat, renewable power, etc. There were 221 papers obtained from the initial search on Web of Science in January and February, 2020. These papers included different types of case study papers, methodology papers, review papers, etc.

After the initial screening to remove papers on food nutrient energy and papers studying energy as an intermediate product, there were 97 papers, which were categorized into two topics: bioenergy and power. Papers on bioenergy studied different types of bio fuels, while papers on power concentrated on different types of power, e.g., coal, natural gas thermal power, nuclear power, hydropower, renewable power, and fossil-fuelbased power with carbon capture and storage (CCS). Electric vehicles (EV) which have recently entered the power systems scenario for regulation purposes through Vehicle to Grid (V2G) programs, was categorized as "power" topic. Papers studying power and heat simultaneously were also categorized as "power" topic.

After removing papers on "bioenergy" topic, there were 31 papers of CLCA on power sector, including both case studies and reviews. These papers were analysed in terms of their applied methodology and obtained results, e.g., system boundary, socio-economic interactions and indirect life cycle environmental impacts.

### 2.1 The notion of CLCA

The causal relationship of CLCA has been pointed out by many authors as the consequential changes in the environmentally physical flows of the product system due to any changes occurring in any processes during its life cycle [11-14]. The changes occurring during the product system's life cycle are made by decisions taken at different levels. The level at which a decision is taken is higher in case of government policies (e.g. policy to require the minimum amount of renewable power in the national power mix) or company strategies (e.g. decision on new installation of energy efficient equipment). Due to these decisions, there will be direct and consequential changes in the technological product system and its relevant life cycle environmental impacts.

Under the view of economic interactions, CLCA is defined as an approach to assess the life cycle environmental impacts due to the decrease or increase in demand on the product system [15, 16]. The causal relationship of CLCA was connected with economic changes, for example, changes in the economic development and compulsory commitment on GHG emissions reduction cause changes in the supply and demand of the power sector, and therefore its life cycle environmental impacts.

There were several reviews on CLCA methodology and compared it with ALCA [14, 15, 17]. Other authors reviewed CLCA applications and pointed out CLCA outstanding features in capturing life cycle environmental impacts of a product system under economic interactions [18-20]. These reviews agreed on the differences of ALCA and CLCA in terms of goal and application, product system, system boundary, functional unit and allocation. The differences between CLCA and ALCA are summarized in Table 1.

# **2.2 Direct and indirect life cycle environmental impacts in the power sector**

Both direct and indirect life cycle environmental impacts of power sector have been studied. The direct impacts originate from the production stage of power. The indirect impacts include two types: (1) the indirect impacts may either be situated in the intermediate products that contribute to the power's life cycle, or (2) may be originated from the affected products, which are related to the power in some ways. Some examples of the indirect impacts are land use impacts for the development of bio-electricity, impacts from equipment and power infrastructure, impacts from background processes such as primary energy, fuel extraction for power generation, impacts from increasing battery integration into the power grid due to other types of power technologies such as wind power, solar power or thermal power in the generation mix, impacts from increasing or decreasing demand on products of power intensive industries such as metal manufacturing and food processing on power grid structure and capacity.

While the indirect impacts caused by the intermediate products can be quantified with ALCA, the impacts caused by affected products should be quantified with CLCA. In this paper, the term "indirect environmental impacts" is used to denote the latter type of impacts, which originates as the consequence of changes in the product system. These changes include different types of changes in the socio-economy such as changes in the governmental policy decision, or changes in the market demand of the investigated product system or any relevant products and co-products.

Table 1. Comparison between ALCA and CLCA [14, 18]

Features	ALCA	CLCA
	To assess potential environmental impacts, including inputs and	To assess potential environmental impacts of a product
Goal	outputs of a product system per its functional unit over its life	system in relation with changes per its functional unit
	cycle.	over its life cycle.
	Answer for question "How things are?"	Answer for question "What if?"
	Hotspot identification or product comparison.	Reflection of causality.
Application	ALCA is relevant when no specific decision is at hand for	Used for decision-making.
Application	increasing the understanding of the causal relations within the product chain, and between this chain and the surrounding	CLCA is relevant when rational decision-making is needed. This process requires information about the
Due due et	technological systems.	consequences of the decision.
Product system	Normally there is one product system per ALCA.	The product systems are broadened to include several similar or relevant products.
System	Over the product system's whole life cycle (from cradle to grave),	The system boundary is broadened to include unit
boundary	or a part of its life cycle (from cradle to gate, from gate to gate,	processes and products as consequences of change/ intervention.
	from gate to grave).	
Functional unit	1 unit of function of product system.	1 unit of function of marginal product system. Functional unit of the whole system would consist of multiple functions, including the main system and those added by the processes included in the boundaries.
Allocation	The impacts are ascribed for main product and co-products based on economic value (price) or physical value (volume, mass, etc.).	System boundary is broadened to include main product and co-products, so there is no need of allocation.

### **3. RESULTS AND DISCUSSION**

### 3.1 System boundary

CLCA approach expands the studied system boundary by including different unit processes [18] and products and coproducts [21] to the extent of the expected changes. All of the reviewed case studies expanded the product system boundary by either increasing unit processes or including relevant products and co-products. Some studies made it very clear on the inclusion of extended unit processes [22-26], while others did not clearly identify the unit processes of a product system's life cycle [27-34]. In terms of products and co-products, the system boundary was extended to at least two products in all reviewed studies. Moreover, it was even extended to several relevant economic sectors. The investigated products and economic sectors of some reviewed CLCA papers are specified in Table 2.

There are two approaches for dealing with the expansion of system boundary in a CLCA, namely ceteris paribus (other things being equal) and mutatis mutandis (the necessary changes being made) assumptions. In the ceteris paribus assumption, the changes in the product system are analysed in isolation, as the scale of effect is small [35]. The products/ technologies, which are affected by the changes, are called marginal products/ technologies [36]. In contrast, the mutatis mutandis approach assumes that the changes in the product system are large enough to induce changes in other socio-economic sectors [37].

The first assumption was proposed by Weidema [35]. The author suggested an approach to identify marginal products/ technologies in five steps [35, 38], including:

(1) The scale and time horizon of the study,

(2) The scale of the effect, at process or market scale,

(3) The trend in volume of the affected market,

(4) The potential of an increase or reduction in supply and demand, and

(5) The favourability of the technology.

The approach clarified not only the link between the product systems and unit processes through intermediate products,

which is conveyed in an ALCA, but also the consequences on supply and demand of products and co-products [38]. A study of Mattsson et al. [38] indicated that a change in the annual power demand by 1 TWh induced the same consequence on the power technologies as a change of 1 kWh. Therefore, the change in the annual power demand by 1 TWh may be regarded as small. It was suggested that in case of limitation of data availability, the scale of change was assumed to be small [38].

Several examples of ceteris paribus assumption were presented in the studies of Eriksson et al. [22] and Jones et al. [39], in which the marginal products or technologies are replaced by an alternative product (Refer to Table 2). This assumption was either applied at the early time of CLCA development, when the methodology was emerging, for example in study of Eriksson et al. [22], or in CLCA studies which focused on dealing with the physical changes of the environmental impacts, for example in Jones et al.'s study [39].

In Eriksson et al.'s study, the environmental impacts of heat and power from waste incineration were calculated and compared with other competing fuels. The assumed marginal heat and power included combined heat and power (CHP) from biomass combustion, heat from biomass combustion and CHP from natural gas combustion. These marginal products were substituted with the ratio 1:1 by heat and power from waste incineration and material recycling [22].

The study of Jones et al.'s assessed the environmental consequences of increased PV uptake in UK within 30 years by three scenarios. Corresponding to these scenarios, there were changes in energy generation technologies, distribution network structure and grid operation. These changes in energy flows were quantified by using net energy analysis (NEA), and the changes in relevant environmental consequences were quantified by using CLCA [39]. The framework of NEA and CLCA was combined by setting the targets on distributed energy uptake, specifically 50 GW PV with domestic storage, 50GW PV without domestic storage and 10 GW PV without domestic storage. These targets were set by consulting with stakeholders, including distributed generation technology suppliers and installers, electricity network and grid operators

and policy makers through a workshop. Environmental impacts due to the increase of distributed power such as impacts on raw material mining, manufacturing and transport infrastructure originated from increased deployment of solar PV were cutted-off. Besides, change in power consumption patterns due to integration of distributed power into buildings were excluded in the system boundary. The exclusion of these secondary impacts reduced the complexity and uncertainty of the studies without affecting the research focus of changes in power system as a whole [39].

The reviewed papers that applied the ceteris paribus assumption focused on the causal relations of change in the product system and affected product rather than socioeconomic relations between them. Therefore, these "claimed to be CLCA" studies should be regarded as using consequential concept, not a CLCA.

Frischknecht and Stucki proposed an approach to select the suitable assumption based on quantitative justifications [36]. In their approach, different modelling techniques would be applied depending on the changing agent, its potential effect and the size of studied product systems. It was suggested that if the potential consequences of the effect were small (the product system's economic size accounts for less than 0.1% or from 0.1% to 1% of the market share), the ceteris paribus assumption should be applied, whereas, the effects with large potential consequences (accounting for more than 1%) should be approached by CLCA with mutatis mutandis assumption [36]. Although the criteria were not adequately convincing, they were the initial efforts of how to deal with system expansion in CLCA, based on quantitative economic value. Due to the small economic size of the product system, the potential effects of any change on the product system is limited in physical changes; namely, changes of quantity of environmental impacts without affecting the economic systems. If the effects are large in scale, there will be changes in economic interactions in other relevant sectors. In this case, the expansion of system boundary is necessary to accurately quantify the impact. Frischknecht and Stucki concluded that CLCA with mutatis mutandis assumption, therefore, would be relevant for quantifying impacts of important decisions on the investigated object with a relatively large economic size, for example governmental policies or strategic international organization decisions [36].

Examples of the mutatis mutandis assumption can be found in several studies [23-34, 40-45] (Refer to Table 2). In these studies, the marginal products or technologies were identified, with determined affected scales. The changes in the affected products were determined by reviewing literatures [24, 27, 30, 34, 40], and running economic models [23, 25-34, 40-45].

Specifically, Moora and Lahtvee quantified the global warming potential of waste incinerated electricity in Baltic region by 2020 [24]. The authors calculated the net emissions of energy generation from waste incineration ( $E_{net}$ ) by subtracting the emissions of waste for electricity generation ( $E_{waste}$ ) from emissions caused by alternative energy production ( $E_{alternative}$ ), with following equation:  $E_{net} = E_{waste} - E_{alternative}$ . The alternative energy productions were identified as the marginal electricity and oil shale) and in long term (electricity from natural gas, coal fired electricity, biomass based and wind power) [24]. One limitation of the study is that the substitution ratio of marginal electricity with waste

incinerated electricity is assumed as 1:1, which makes it inbetween ceteris paribus and mutatis mutandis assumptions as the investigated product system equals to the identified marginal products.

Mathiesen et al. assessed the environmental impacts of 10% increase of waste incineration for energy production. The affected energy technologies were various among different approaches. By looking at publications on historical and future energy system and existing CLCAs, the identified affected product was coal-fired energy. Meanwhile, with the application of an economic model, e.g., EnergyPLAN, the identified affected products were mostly energy from natural gas and a small amount of coal [27]. The author concluded that with CLCA it is impossible to identify one "single" marginal technology and one "single" marginal technology would not accurately reflect the actual operation and interactions of the power system.

Gibon et al. conducted the LCA of human health and ecological impacts of the global low-carbon electricity due to carbon emission reduction policy. The low-carbon electricity scenario was determined by analysing the existing and future power generation mixes and the technology performance from International Energy Agency reports. The improvement in material production was taken from the New Energy Externalities Development for Sustainability project. The changes in the future power system structure and fuel consumption due to the increased adoption of clean power technology was identified based on experts' opinions [30]. The life cycle inventories of these clean power technologies, with improvements in material production and power consumption, were modelled from EXIOBASE, a multi-regional input output model. It was identified that, thanks to the lower impacts on climate change, renewable energy and nuclear power cause lower human health impacts than coal or gas power. However, in term of ecological impact, the biopower had the similar ecosystem quality damage points (species.yr/kWh) as the coal power. The land occupation of biopower causes damage to the ecosystem quality at the same magnitude as the climate change impact of coal power [30].

Vandepaer et al. quantified potential environmental benefits and drawbacks of batteries integration into the future Swiss electricity supply system. The marginal electricity supply mixes were extracted from literatures of Kannan and Turton for electricity generation scenarios, publications of European Commission and International Energy Agency for electricity imports. The projections about the battery performances and the recycling process were assumed based on relevant literatures [34].

Dandres et al. assessed the environmental impacts due to European energy generation and perturbation of world economy. Different energy development scenarios of the business-as-usual (BAU) and future renewable technology mixes were taken from peer-reviewed publications of Mantzos et al. [40].

A common feature of Mathiesen et al., Gibon et al., Vandepaer et al. and Dandres et al.'s studies is that they made the assumption on the affected product by reviewing existing literatures, and combining with economic modelling, for examples EnergyPLAN [27], EXIOBASE [30], Swiss TIMES Energy Model (STEM) [34], and Global Trade Analysis Project (GTAP) [40]. Table 2. System boundary - product systems and affected products of some reviewed papers

Studies	Investigated product systems	Assumption	Affected products	Coverage of economic sectors
Eriksson et al. [22]	Heat generation from waste incineration	Ceteris paribus	Heat and CHP generation from biomass and natural gas Different types of power such	Heat and power generation
Pizarro- Alonso et al. [23]	Waste to energy	Mutatis mutandis	as coal, natural gas, biomass, wind, solar, ocean, geothermal and nuclear power	Two sectors of waste management and power generation
Moora and Lahtvee [24]	Waste incineration for electricity and heat generation	Mutatis mutandis	Different types of power	Two sectors of waste management and power generation
Pehnt et al. [25]	Wind power	Mutatis mutandis	Thermal power such as power from coal, lignite and gas	N/A
Blanco et al. [26]	Power to methane	Mutatis mutandis	The EU power system	The whole economic system of energy supply and demand sectors, including power, heat, industry, transport, and supply (supply); and commercial, residential, industry, mobility and agriculture (demand)
Mathiesen et al. [27]	Power and heat from waste	Mutatis mutandis	Energy from coal, oil, natural gas and biomass	N/A
Lund et al. [28]	Power	Mutatis mutandis	Energy from wind, coal and natural gas	N/A
Igos et al. [29]	Six energy final products including liquid fuels, fuels, coke, refined petroleum, electricity, products of mining and quarrying of energy, and gas, steam and hot water 17 energy technologies including	Mutatis mutandis	The same as investigated product systems	Six economic sectors of Luxembourg: Agriculture, Construction, Industry, Electricity production and distribution, Transport, and Other industries
Gibon et al. [30]	bioenergy, coal, hydropower, natural gas, natural gas, concentrating solar power, nuclear power, solar photovoltaics (solar PV), wind power and CCS	Mutatis mutandis	The same as investigated product systems	N/A
McDowall et al. [31]	18 power technologies from wind, solar PV, coal, combined cycle gas turbine, conventional gas, nuclear, hydro, oil, biomass and waste	Mutatis mutandis	The same as investigated product systems	The comprehensive energy supply and demand sectors of fuel provision sectors, power generation sectors (Agriculture, Forestry, Coal, Leather, Wood, Pulp & Paper, Printing & Media, Coke, Nuclear fuel, Chemicals, Rubber & Plastic products, Other non-metallic mineral products, Fabricated metal products) and power consumption sectors (Agriculture, Pulp & Paper, Chemicals, Non-Metallic minerals and Other industry)
Raugei et al. [32]	Solar PV power	Mutatis mutandis	Different types of UK on-grid power such as wind, nuclear, coal, gas and biomass power	N/A
Algunaibet et al. [33]	The US power system with power from coal, natural gas, nuclear, hydropower, biomass, geothermal, solar PV, solar thermal, wind, bioenergy and CCS	Mutatis mutandis	The same as investigated product systems	N/A
Vandepaer et al. [34]	Two types of batteries	Mutatis mutandis	On-grid power	N/A
Jones et al. [39]	Power generation technologies, distribution network and electricity grid operation	Ceteris paribus	Power system	N/A
Dandres et al. [40]	The European (EU) electricity and heat	Mutatis mutandis	The same as investigated product systems	20 globally economic sectors: Grains and crops; Livestock and meat products; Processed food; Water; Textiles and clothing; Light manufacturing; Heavy manufacturing; Utilities and construction; Transport and communication; Other services; Coal and lignite extraction; Gas extraction; Oil and peat extraction; Minerals; Fuels; Gas, steam and hot water; Electricity; Forestry; and Pulp, paper, publishing and Wood products
Elzein et al. [41]	France grid power with different price and generation technologies	Mutatis mutandis	Normandy grid	N/A

Notes: N/A = Not Available Other authors used economic models to determine marginal technologies such as Balmorel [23], EU Electricity Market Model (E2M2) [25], JRC-EU-TIMES [26], EnergyPLAN [28], ETEM and LUXGEM [29], THEMIS and EXIOBASE [30], TIMES (ETM-UCL) and Environmentally Extended Input-Output (EEIO) [31], Unit commitment model [32], Emissions Reduction Cooperation Model [33], Sequential Quadratic Programming algorithm [41], MARKAL [42], Network Impact Assessment Model [43], Agent Based Modelling (ABM) [44] and System Dynamics (SD) [45]. The results of the studies that applied economic models will be presented in the following parts.

Under the mutatis mutandis assumption, the number of identified affected products does not limit in one product, but a combination of several products (Refer to Table 2). Moreover, the scales of change are different among affected products. In other word, a change in the investigated product system causes necessary changes in various affected products. The review on system boundary and assumptions of existing literature indicated that the mutatis mutandis assumption was preferred for CLCA in power sector, and in order to determine the set of effected products to the extent of change, the application of economic modelling is useful.

#### 3.2 Socio-economic interactions

According to Earles and Halog, Zamagni et al., Weidema, CLCA included the economic relations of the product system in forms of market mechanism or economic-based causal relationship [15, 18, 21]. The most common way to model the economic relationship is combining an economic modelling tool and LCA. The applicable economic models are either partial equilibrium (PE), general equilibrium (GE), input output (IO) or dynamic models (DM), which were also common in CLCA studies in the energy sector in general [46]. In power sector, two thirds of reviewed papers applied economic models to simulate the economic interactions between power sector and other sectors. The pathway for integrating these models into CLCA was running the models to obtain scenarios with changes in affected sectors and identify affected products/technologies. These scenarios and data on affected products/technologies would be used for running CLCA.

Among reviewed papers, there were ten studies using PE, two studies applying GE, five studies applying IO, and two studies applying DM. More interestingly, it was common that some studies apply several types of economic models, for example PE in combination with GE, and PE in combination with IO. The applications of PE, GE, and IO had the advantage of available data and energy models. Meanwhile, the DM was limited in terms of availability of data and modelling tools, but worked well with socio-economic data [46]. Table 3 presents the reviewed CLCA case studies and their applicable models.

The applications of several economic models, e.g., IO/ PE/GE in CLCA provided details of the economic causal relationship [47]. PE had the advantage of conveying a specific view of one economic sector, while GE delivered a comprehensive view of the product system in relations with the economy [46]. IO can be applied to either one economic sector, e.g., EnergyPLAN for modelling power sector, or used for studying the whole economy, e.g., World Input Output Database [48].

Table 3. Applicable	tools in	reviewed	papers
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Study	PE	GE	Ю	DM	LR	Expert based
Pizarro-Alonso et al. [23]	Y					
Pehnt et al. [25]	Y					
Blanco et al. [26]	Y					
Mathiesen et al. [27]			Y		Y	
Lund et al. [28]			Y			
Igos et al. [29]	Y	Y				
Gibon et al. [30]			Y		Y	Y
McDowall et al. [31]	Y		Y			
Raugei et al. [32]	Y					
Algunaibet et al. [33]	Y					
Vandepaer et al. [34]	Y				Y	Y
Dandres et al. [40]		Y			Y	
Elzein et al. [41]	Y					
Choi et al. [42]	Y					
Jones and Gilbert [43]			Y			
Florent and Enrico [44]				Y		
Onat et al. [45]				Y		
Hammond and O'Grady [49]	Not clear			Y	Y	

Notes: LR = Literature review

Hammond and O'Grady presented three pathways to a low carbon power sector of UK by 2050, through coal phase out, and technological innovations in CCS, and combined heat and power. These pathways were developed through Stakeholders workshop; Quantitative research; and Interdisciplinary workshop. The authors mentioned of applying economic models and interdisciplinary assessment in "quantitative research" step; however, it is not clear which models have been used [47].

Igos et al. applied LUXGEM and ETEM models to evaluate the economic impacts of policy decisions on energy commodity demand in Luxembourg by 2030 and identify least cost technologies to meet that energy demand [29]. LUXGEM is a GE model, which maximizes one representative household's utility with budget constraint. It includes 20 commodities and 4 production factors of capital, labour, energy, materials. The results obtained with LUXGEM were economic interactions, including energy commodity demand. The economic drivers and energy commodity demand from LUXGEM were used to run ETEM. ETEM is a PE model, which minimizes the net present value of all costs of both supply side and demand side of the energy system. ETEM provides technology detail of energy sectors with 24 final

Y = Yes

demand commodities. The simulations of LUXGEM and ETEM were repetitively conducted to obtain the same energy consumption. It was identified that the contribution of other economic sectors to the national environmental profile, except for energy sector, were quite similar. Moreover, most of the environmental impacts originated from imported commodities [29].

McDowall et al. combined ETM-UCL and EEIO to quantify indirect carbon emission of renewable energy technologies of EU energy system [31]. ETM-UCL is a PE energy development model, which optimizes energy system cost to carbon constraints. Energy demand is exogenous, based on drivers such as GDP, population, household numbers and sectorial output. The obtained result of ETM-UCL was an optimized mix of power generation technologies. EEIO was used to obtain the direct and upstream emission factors of power generation technologies. The results on changes within the power sector were specific and interesting, which indicated the reduction of solar PV deployment when the upstream emissions were included. It was the same for the renewable energy, with 4% reduction of installed capacity by 2050 [31].

Dandres et al. applied GTAP model to predict global economic perturbation potentially caused by two different European energy policies, and CLCA to quantify environmental impacts due to these policies [40]. GTAP is a GE model, which simulates the world economy subject to changes in fuel demand, economic and technological changes. The original 57 economic sectors and 113 regions of GTAP were aggregated into 20 sectors and 14 regions. The obtained results from GTAP were comprehensive changes in production of the global economy for each region. Among economic sectors, the most impacted sector was coal extraction and power generation. Consequently, it contributed to most of the difference in the environmental impacts. Moreover, the authors pointed out that the most sensitive causal relation lied in economic revolution or the change in the GDP, rather than the change in the demand [40].

Apart from economic causal relationship, CLCA also can cover the social interrelations among the product system. In this case, the original changes were not limited to the decrease or increase of consumption and production, which are quantitative, but also include changes in social indicators. This was conducted with the application of DM such as ABM and SD.

Among the reviewed papers there were only two case studies covering the social aspects or social relationship of the power system. These studies either simulated the social agents and their impacts on the product system [44], or simulated the socio-economic interactions of the product system over its life cycle [45].

Florent and Enrico combined ABM and CLCA to model changes in vehicle private use in Luxembourg due to different mobility policies in relation with environmental impacts of battery EVs, plug-in hybrid EVs by 2020, and compared them with gasoline internal combustion vehicles and diesel internal combustion vehicles [44]. The obtained results included qualitative changes on characteristics of travel, charging patterns and auxiliary use and quantitative indicators such as number of travels, which consequently change environmental indicators such as decrease global warming, fossil depletion, acidification, ozone depletion and photochemical ozone formation; and increase in metal depletion, ionizing radiations, marine eutrophication and particulate matter formation [44].

In Onat at el.'s study, CLCA based on SD was applied to

model impacts of three alternative vehicles including internal hybrid, plug-in hybrid and battery EV by 2050 and compared them with internal combustion vehicle [45]. The increase in the utilization number of EVs caused sustainable impacts on three pillars of sustainability (environment, economy and society), including carbon dioxide emissions, particulate matter formation, photochemical oxidant formation (for environmental aspect), vehicle ownership cost, contribution to GDP (for economic aspect), employment generation, and the human health impacts (for social aspect). With CLCA approach, it was identified that EVs were expected to be the best alternative in long-term for reducing human health impacts and air pollution from transportation. Meanwhile, the result based on average value indicated that plug-in hybrid vehicles had the largest potential GHG emission reductions [45].

In the context of GHG policy intervention, more and more renewable energy sources will be integrated into the power system. The impacts of these integrations will not limit in the technical changes of the power system, and thereby its relevant environmental consequences, both positive and negative, but also cause economic and social impacts. As a result, the development of CLCA methodology with the consideration of socio-economic interactions is useful for comprehensively and precisely assessing impacts of the future power system.

### 3.3 Indirect environmental impacts of the power sector

By expansion of system boundary and inclusion of socioeconomic interactions, CLCA demonstrates its ability in quantifying indirect life cycle environmental impacts of the power sector. When the indirect environmental impacts are included, the total environmental impact points either increase or reduce. In other words, the investigated power sector becomes cleaner (increased emission reduction), less clean (decreased emission reduction), more polluted (increased emissions) or less polluted (decreased emissions). In the analysed literature, the scales of change range from 5% to 200% [50]. The difference between the life cycle environmental impacts with and without indirect impacts quantified with CLCA approach in the power sector is presented in Table 4.

Frischknecht and Stucki used attributional, decisional (consequential at micro-economic level) and consequential approaches to quantify the environmental impacts of French and EU electricity supply [37]. The attributional life cycle inventory was taken from Ecoinvent database, the most common life cycle inventory database. The decisional life cycle inventory was based on EurElectric (a publication on power statistics in European countries), other energy publications and expert opinions. The authors identified that there was a difference among the obtained results. In the French electricity supply mix, the GHG emissions calculated with attributional and decisional approach varied by 129.6%, at 98 gCO<sub>2</sub>e per kWh and 225 gCO<sub>2</sub>e per kWh, respectively. However, the volumes of high radioactive waste reduced from 11 with attributional approach to 3.8 mm<sup>3</sup> per kWh with decisional approach [36]. In EU electricity supply mix, both environmental indicators of GHG emissions and high radioactive waste reduced when decisional approach was applied, from 554 to 473 gCO<sub>2</sub>e per kWh and from 3.5 and 3.4 mm<sup>3</sup> per kWh, respectively [37]. The decreases of GHG emissions and high radioactive waste of the EU electricity are due to the differences between the average electricity mix and the decisional electricity mix, in which the latter has lower GHG emission thanks to the phasing-out of electricity from hard coal and lignite [37].

Pehnt et al. studied the increase in offshore wind power and its benefits in GHG emission reduction in Germany [25]. The change in offshore wind power capacity induced a change in the power mix, operation of power system, expansion and reinforcement of the grid. In terms of power mix, the increased offshore wind power substituted the thermal power from coal, lignite and gas. Thanks to the substitution effect, the specific carbon reductions per kWh offshore power in 2020 would amount to 914 and 646 gCO<sub>2</sub>e, in the low and high carbon certificate price scenarios, respectively [25]. At the same time, the operation of thermal power plants would be affected, adding 70 and 18 gCO<sub>2</sub>e of emissions per kWh of off-shore wind power. The emission from wind induced grid expansion and reinforcement is 22 gCO<sub>2</sub>e per kWh. When the emissions from all processes, including construction, operation and disposal of the wind energy park, wind-influenced grid expansion, carbon reductions due to thermal power substitution and GHG emissions from altered power plant operation were added up, the net carbon reductions were 822 gCO<sub>2</sub>e per kWh and 606 gCO<sub>2</sub>e per kWh [25].

Blanco et al. conducted an ex-post LCA analysis of results from a PE based energy system model called JRC - EU – TIMES and estimated the 18 environmental impact indicators across five energy-related sectors of power, heating, industry, transport and supply in scenarios that achieved 80-95% GHG emission reductions by 2050 in EU28+ countries [26]. The investigated environmental impacts included climate change, fossil depletion, metal depletion, water depletion, ozone depletion, ionizing radiation, particulate matter formation, photochemical oxidant formation, terrestrial acidification, freshwater eutrophication, marine eutrophication, marine ecotoxicity, freshwater ecotoxicity, terrestrial ecotoxicity, human toxicity, natural land transformation, urban land occupation and land occupation. The results showed that the indirect GHG emissions were as large as the direct one for 80% reduction target. For 95% reduction target, the indirect GHG emission reduction target increases from 80% to 95%, most categories' impacts decreased by 20-40%, except for toxicity which was higher at 35-100% [26].

McDowall et al. conducted a similar study on renewable energy technologies of EU energy system. In the study, the indirect emissions contributed to less than 10% of the total emissions of power sector in EU by 2050 [31], which was a small part, especially compared to the result of Blanco et al.'s study. It should be noted that there is a difference in investigated scopes of these studies. While Blanco et al.'s study covered five energy-related sectors, the indirect emissions in McDowall et al.'s study included those from energy equipment and construction [26, 31]. Even though the indirect emission of the power sector is small in McDowall et al.'s study, its inclusion into the optimization model made the renewable power less attractive than it was expected [31].

Studies	Product system	Environmental impacts/ benefits	Direct impacts only	Indirect impacts included	Variation
	Power	GHG emissions (gCO <sub>2</sub> e/kWh)	98 225		129.6%
Frischknecht and Stucki [37]	system (French)	High radioactive waste (mm <sup>3</sup> /kWh)	11 3.8		-65.5%
	Power system (EU)	GHG emissions (gCO <sub>2</sub> e/kWh)	554 473		-14.6%
		High radioactive waste (mm <sup>3</sup> /kWh) 3.5		3.4	-2.9%
Pehnt et al. [25]	Wind power	GHG emission reductions (gCO <sub>2</sub> e/kWh)	914 ~ 646	822 ~ 606	-10.1% ~ -6.2%
Blanco et al.	Energy	GHG emissions (85% emission reduction target policy) (gCO <sub>2</sub> e/kWh)	N/A		50%
[26]	(including power)	GHG emissions (90% emission reduction target policy) (gCO <sub>2</sub> e/kWh)	N/A		200%
Igos et al. [29]	Energy (including power)	Human health, ecosystem and resources (BAU and GHG scenarios) (damage points)	N/A		50%
McDowall et al. [31]	Power sector	CO <sub>2</sub> emissions	N/A		10%
Raugei et al. [32]	Solar PV	GHG emissions (TgCO <sub>2</sub> e/yr)	N/A		±2%
Vandepaer et al. [34]	Lithium metal polymer battery	Climate change (kgCO <sub>2</sub> e/MWh)	7.89*	-443	-5714%
	Li-ion battery		6.68*	-439	-6671%
Dandres et al. [40]	Electricity and heat	Environmental impacts	N/A		5.5%

Table 4. Direct and indirect environmental impacts of some reviewed CLCA papers

Notes:

\* These numbers were estimated by the authors based on Figure 3 of Vandepaer et al. [34].

N/A = Not available. No number on direct and indirect impacts was provided in the studies [25], [26], [29], [31], [32] and [40]. Instead, these authors presented the results on variations between "direct impact only" and "indirect impact inclusion".

Igos et al. assessed the impacts on human health, ecosystem and resources of two energy policies: Business As Usual (BAU) and Greenhouse Gas (GHG). In the BAU policy, the drivers for change were socio-economic drivers, including growth rates of economic sectors, population and GDP. In the GHG policy, apart from socio-economic drivers, a reduction target of 2.5% was also considered. The environmental impacts included impacts from energy production and energy import as well as indirect impacts due to economic changes. The contribution of indirect impacts was up to 50% in all three categories of human health, ecosystem and resources. The environmental impacts in the GHG policy were 2-3% lower than those in the BAU policy. This difference mainly and directly came from energy sector. The contribution of other economic sectors such as agriculture, construction, etc. to this 2-3% difference was less than 0.1% [29].

Raugei et al. conducted a CLCA on increased uptake of solar PV in the UK grid. The increase of solar PV capacity influenced the generation mix as well as the grid development, and consequently global warming potential of solar PV [32]. The authors identified that there was a small difference in the GHG emissions from the increased solar PV deployment, which originated from background stages of solar PV and changes in the generation mix [30]. Consequently, any change in the solar PV deployment had no considerable additional emissions of the UK on-grid power, at around  $\pm 2\%$  [32].

Vandepaer et al. quantified the environmental impacts of inclusion of battery into the Swiss power system by 2030. In the current policy scenario, the inclusion of battery caused the displaced electricity mix, which was dominated by natural gas combined cycle units [34]. The inclusion of batteries generated environmental benefits in 12 of the 16 impact categories, including climate change, ozone depletion, particulate matter, ionizing radiation, photochemical ozone formation, acidification, terrestrial eutrophication, freshwater eutrophication, marine eutrophication, land use and water resource depletion. In low carbon scenario, marginal electricity generation being displaced due to the inclusion of batteries mostly came from geothermal and hydropower which already had reduced environmental impacts. Therefore, the environmental benefits due to the inclusion of battery are reduced as compared to those of current policy scenario [34]. Specifically, in the current policy scenario, the emission reductions of lithium metal polymer and Li-ion battery are 443 and 439 kgCO<sub>2</sub>e/MWh, respectively. In the low carbon scenario, these numbers are less than 200 kgCO<sub>2</sub>e/MWh for both types of batteries [34].

Dandres et al. assessed the environmental impacts of EU electricity and heat generation in two EU energy policies, namely baseline and bioenergy, in consideration with and without global economic development. The quantified impacts included direct impacts of increased energy generation and indirect impacts due to change in the global economic activities served for increased energy generation in the EU. It was indicated that potential indirect impacts were higher than direct impacts, with impacts occurring inside the EU border only accounting for 5.5% of the total global potential impacts. Interestingly, indirect impacts of increased energy in bioenergy policy were considerably higher than those in baseline policy [40]. Bioenergy policy, which harnesses more renewable energy compared to baseline policy, should be cleaner. However, the obtained results indicated that it causes more environmental impacts due to the indirect consequences of bioenergy on global economic activities [40].

There is a link between the environmental and economic aspects of power sector. Any increase or decrease in the indirect environmental impacts of the power system will affect the cost for the system. Algunaibet et al. quantified the life cycle indirect cost of electricity generation in the US and pointed out that other indirect environmental impacts of power sector need to be considered apart from direct GHG emissions. In the study, the costs of electricity were minimized with constraints on demand, generation potential and capacity factor, while achieving a particular target on emission. These costs included levelized cost of electricity (direct cost) and costs to endpoint life cycle indicators including human health, ecosystem diversity and resource availability (indirect cost, externalities) [31]. It was found that by meeting the emission reduction of Paris Agreement, the indirect costs of electricity generation could be reduced up to 63% [31]. In contrast, the withdrawal from Paris Agreement would cause a cost up to 1103 ± 206 billion USD 2013 in BAU scenario by 2030 [31]. When both direct and indirect costs were optimized, the total cost for the energy system would be  $373 \pm 164$  billion USD 2013 in 2030 [31].

Elzein et al. assessed the GHG emission and operating cost of electricity generation of Normandy grid with the inclusion of energy storage systems. The inclusion of energy storage systems altered the generation of thermal and nuclear power plant, consequently, reduced GHG emissions by 53%. At the same time, the operating cost reduced by 28% as compared to the base case of historic power generation without energy storage systems [38].

### 4. CONCLUSION

The review of CLCA in power sector indicated the methodology's strength in precisely quantifying indirect environmental impacts of the product system due to socioeconomic changes during its life cycle thanks to the features of expansion of system boundary and inclusion of socioeconomic interactions.

The system boundary is expanded in all reviewed CLCA case studies, by taking into account unit processes, affected products and co-products, and economic sectors to the extent of changes. The affected products are treated differently among reviewed papers, either with ceteris paribus or mutatis mutandis assumptions. The ceteris paribus assumes that the scale of change is small and deals with the physical change without consideration of socio-economic impacts; therefore, studies using this type of assumption can be regarded as applying consequential concept.

Meanwhile, the mutatis mutandis assumption identifies a set of affected products with different scales of change. It also considers the socio-economic interactions between the investigated product system and the affected product. The mutatis mutandis assumption is made by reviewing existing literature, and more frequently, by applying one or several economic modelling tools.

The adoption of economic models and CLCA has the advantages of tracking the links between environmental impacts and socio-economic indicators, such as product demands or economic growth, domestic market or import/export market, and consumer behaviours.

With the application of CLCA, the total environmental impacts vary from negligible to considerable difference. In case of GHG emissions, when the indirect emissions are included, the investigated power becomes cleaner, less clean or more polluted. The magnitudes of the variations are vastly different among case studies, depending on the scopes of the studies and specific investigated product system. In most of the cases, the GHG emissions and other environmental impacts of power sector become larger with the application of CLCA, due to the inclusion of indirect environmental impacts on relevant economic sectors.

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NOMENCLATURE		GtCO <sub>2</sub> e GW	Giga ton of carbon dioxide equivalent Giga watt
ABM ALCA	Agent Based Modelling Attributional Life Cycle Assessment	ha IO	Hectare Input Output
BAU	Business as usual	kWh	Kilo watt hour
CCS CHP	Carbon Capture and Storage Combined heat power	LCA LR	Life Cycle Assessment Literature review
CLCA DM	Consequential Life Cycle Assessment Dynamic model	NEA PE	Net Energy Analysis Partial equilibrium
E2M2	EU Electricity Market Model	PV	Photovoltaics
EEIO EU	Environmentally Extended Input Output European	SD STEM	System Dynamics Swiss TIMES Energy Model
EV	Electric Vehicles	tCO <sub>2</sub> e	Ton of carbon dioxide equivalent
gCO <sub>2</sub> GDP	Gram carbon dioxide Gross Domestic Product	TWh UK	Tera watt hour United Kingdom
GE	General equilibrium	US V2C	United State of America
GHG GTAP	Greenhouse Gas Global Trade Analysis Project	V2G tPe USD	Vehicle to Grid Ton of phosphorus equivalent US dollar