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Saccharinity Test on Cherry Tomatoes Based on Hyperspectral Imaging

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ABSTRACT

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Keywords:

hyperspectral imaging (HSI), cherry tomatoes, saccharinity test, feature band extraction

Based on the hyperspectral imaging (HSI) technique, this paper attempts to test the saccharinity of three varieties of cherry tomatoes in a nondestructive manner. The cherry tomato samples of the three varieties were collected, and kept at room temperature for 12h. Then, the spectral curves of the samples were obtained between the wavelengths of 914.91nm and 1,661.91nm. After that, the feature bands were extracted by three algorithms, namely, competitive adaptive reweighted sampling (CARS), successive projection algorithm (SPA) and SPA-CARS. The samples were divided into a correction dataset and a prediction dataset at the ratio of 2:1. Next, the feature bands extracted by the three algorithms were combined with the partial least squares (PLS) and least squares-support vector machine (LS-SVM) into six saccharinity prediction models. Finally, the prediction results of the six models were compared, revealing that the CARS-LS-SVM achieved the best performance with a prediction accuracy of >92%. The evaluation indices of this model are as follows: the correlation coefficient of correction dataset (R_c), 0.9696; the correlation coefficient of prediction dataset (R_n) , 0.9220; the root mean square error of correction dataset (RMSEC), 0.2768; the root mean square error of prediction dataset (RMSEP), 0.4390. The research results lay the basis for industrial grading of saccharinity of cherry tomatoes in a nondestructive manner.

1. INTRODUCTION

Cherry tomatoes are well received by consumers, for their short maturity time, rich flavor and high nutritional value [1, 2]. The color, hardness and taste are the main considerations of cherry tomato consumers. The color and hardness of cherry tomatoes can be evaluated based on visual and hand feelings. However, it is difficult to determine the taste of the fruit, which is affected by internal qualities like saccharinity and tartness. In general, cherry tomatoes taste sour if the saccharinity is low and tartness is high, and better if the saccharinity is high and tartness is low [3]. Based on saccharinity test, it is possible to harvest and purchase cherry tomatoes in a rational manner.

Traditionally, the saccharinity of cherry tomatoes is tested destructively using a saccharimeter [4]. The destructive method is slow and inefficient. By contrast, hyperspectral imaging (HSI) features fast speed, simple operation, and good stability. The HSI is an emerging non-destructive testing (NDT) technique that combines spectral technology with imaging technology. In recent years, the HSI has developed rapidly, and been widely used to test the quality of agricultural products (APs) [5-10].

Many scholars have established APs disease detection models based on the HSI [11, 12]. For example, Abid Hussain et al. [13] created a partial least squares-discriminant analysis (PLS-DA) model based on effective attenuation coefficients, and used the model to classify tomatoes at various stages of maturity. The HIS has also been applied successfully to

measure the quality, maturity and damage of fruits [14-16]. For example, Keresztes et al. [17] applied the PLS-DA model to detect the damages of apples. Combining the first derivative and mean centering, the model correctly identified 98% of damages at the pixel level, and processed each apple within 200ms. Pu et al. [18] introduced the HSI to detect the soluble solid content (SSC) of litchis. Specifically, the PSL-DA model was adopted to examine the spectral set, and the optimal wavelength was selected by the partial least squares regression (PLSR) model. Recently, the HSI has been extended to predict the SSC of apples and kiwis [19, 20]. These studies have shown the significant advantage of the HSI in the NDT of internal qualities of fruits. This technique has a promising future in food safety and APs classification [21, 22].

This paper aims to compare several HSI-based saccharinity test models for cherry tomatoes. Firstly, the HIS was adopted to obtain the spectral data of three types of cherry tomatoes. Then, the feature bands were extracted separately by three algorithms: competitive adaptive reweighted sampling (CARS), successive projection algorithm (SPA) and SPA-CARS. The three algorithms were combined with the partial least squares (PLS) and least squares-support vector machine (LS-SVM) into six saccharinity prediction models. The six models were verified by comparing their prediction results, and the optimal saccharinity prediction model was identified. The research results lay a technical basis for HSI-based saccharinity test and classification of cherry tomatoes.

2. METHODOLOGY

2.1 Samples

A total of 193 cherry tomato samples were collected form the greenhouses of Yangling Agricultural High-Tech Industrial Demonstration Zone, northwestern China's Shaanxi Province. As shown in Figure 1, the samples belong to three different varieties, namely, Pink Baby, Millennium and Xiaoxia. Overall, there are 91 Pink Baby samples, 52 Millennium samples and 50 Xiaoxia samples. For convenience, every cherry tomato was given a number. To eliminate the temperature impact on prediction accuracy, the samples were kept at room temperature for 12h before obtaining their hyperspectral images [23].

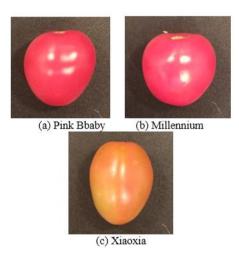
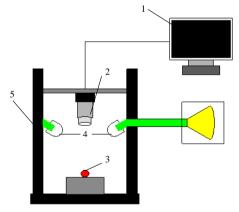


Figure 1. The appearance of cherry tomato samples

2.2 Hyperspectral image acquisition system



1. Computer; 2. Hyper spectrometer; 3. Cherry tomato sample (s); 4. Light source (s); 5. Black box

Figure 2. Hyperspectral image acquisition system

The hyperspectral images of cherry tomato samples were collected by a hyperspectral image acquisition system. As shown in Figure 2, the hyperspectral image acquisition system mainly consists of an ImSpectorN17E push-broom imaging spectrometer (Specim, Spectral Imaging Ltd., Finland) (spectral range: 900-1,700nm; sampling interval: 3.125nm; spectral resolution: 2.8nm), an XEVA3616 charge coupled device (CCD) detector, four tungsten halogen lamps (diffuse light sources; power: 100W), a working stage, a whiteboard

and an image acquisition card. The entire hyperspectral image acquisition system is controlled by a computer. The SpectraSense software package was adopted to acquire the hyperspectral images.

2.3 Extraction of spectral curves

Before collecting hyperspectral images, the surface of each cherry tomato sample was cleaned. To ensure the stability of light source(s), the instruments were preheated for 30min before the samples were placed on the working stage one by one. In our experiment, nine samples are imaged at once, because the samples are relatively small and the sample location does not affect image collection. In other words, the first hyperspectral image is about samples 1-9, the second hyperspectral image is about samples 10-19, and so on in a similar fashion. The parameters of the SpectraSense software package were configured as follows: the exposure time is 11ms, the lens is vertically downward, the object distance is 200mm, and the speed of the electronically controlled stage is 12mm/s.

In addition, the collected hyperspectral images were subjected to black and white correction, aiming to reduce the noises from residual charge and uneven distribution of light intensity [24]. The correction formula can be expressed as:

$$R = \frac{R_r - R_d}{R_w - R_d} \tag{1}$$

where, R is the corrected image; R_w is the calibrated image with a reflectance close to 99.9% after scanning the standard whiteboard; R_d is the calibrated image after turning off the light source(s); R_r is the original hyperspectral image.

2.4 Saccharinity measurement

After collecting hyperspectral images, the saccharinity of each sample was tested by a PAL-1handheld sugar content meter. Each sample was cut horizontally; 1-3mL of juice was taken by a dropper, and dropped into the sampling tank of the meter. The true saccharinity of the sample was then read from the meter. After each test, the sampling tank was washed, and the meter was zeroed with clean water. Next, the sampling tank was cleaned with a piece of dry tissue, getting ready for the next measurement.

Table 1 lists the saccharinity of all cherry tomato samples. Obviously, there is a large difference between maximum and minimum values, and a high standard deviation, revealing the wide distribution of the sample data.

Table 1. Saccharinity of cherry tomato samples

Number of		Saccharinity/%					
samples	Mean	Minimum	Maximum	Standard deviation			
193	7.29	3.80	10.47	1.24			

2.5 Division of sample set

To establish and analyze a sound saccharinity prediction model, the sample set should be divided into a correction dataset for model construction and a prediction dataset for model verification. The representativeness of the correction dataset directly bears on the performance of the prediction model. Therefore, it is necessary to select a suitable way to divide the sample set.

Being a popular tool for sample set division, the sample set partitioning based on joint x-y distances (SPXY) algorithm outperforms common approaches like random sampling (RS) and Kennard-Stone (KS) algorithm [25].

Based on the KS algorithm, the SPXY algorithm adds the two vector pairs with the longest Euclidean distance into the correction dataset, such that the samples in the correction dataset are evenly distributed in space. In the SPXY algorithm, the distances between samples p and q in the direction of spaces x and y, denoted as d_x and d_y , are respectively calculated by:

$$d_x(p,q) = \sqrt{\sum_{i=1}^{I} [x_p(i) - x_q(j)]^2}; p, q \in [1, N]$$
 (2)

$$d_{y}(p,q) = \sqrt{(y_{p} - y_{q})^{2}} = |y_{p} - y_{q}|; p, q \in [1, N]$$
 (3)

Because the samples should have the same weight in the xand y- spaces, x- and y-distances can be normalized by:

$$d_{xy}(p,q) = \frac{d_x(p,q)}{\max_{p,q \in [1,N]} d_x(p,q)} + \frac{d_y(p,q)}{\max_{p,q \in [1,N]} d_y(p,q)}; p,q \in [1,N]$$
 (4)

where, d_{xy} is the normalized distance between two samples.

2.6 Extraction of feature bands

There is a large amount of spectral data in the full band, many of which are redundant. The feature bands must be extracted to reduce the computing load, speed up modelling, and eliminate the impact from irrelevant variables. Therefore, this paper extracts the main bands that reflect the saccharinity features, and discards the bands that are completely or partly irrelevant to saccharinity. The extraction of feature bands reduces the dimension of variables, making the saccharinity prediction faster, more accurate and more stable.

Traditionally, feature bands are extracted by correlation coefficient method, principal component analysis (PCA), genetic algorithm (GA, and stepwise regression analysis method. After years of perfection, these methods have become very mature. Recently, two emerging algorithms, namely, CARS and SPA, have been successfully applied to extract feature bands.

The CARS algorithm can effectively select a subset of variables containing abundant information, which is conductive to model simplification. The subset is selected in two steps: computing the root-mean-square error of cross-validation (RMSECV) of each subset; comparing the RMSECVs of all subsets; taking the subset with the smallest RMSECV as the target subset.

The SPA, as a forward loop-based variable filtering algorithm, can reduce the collinearity of vector space between variables, and effectively prevent information overlap and redundancy. The extracted feature bands have a low collinearity, represent rich information with little information, and thus ease the computing load.

However, both CARS and SPA extract a large number of feature wavelengths. To simplify the model and improve computing efficiency, this paper decides to select feature bands in two steps: extracting 40 feature bands by the SPA,

followed by CARS extraction from the 40 bands. The optimal plan for feature band extraction was then determined through RMSECV comparison.

2.7 Modelling method and evaluation indices

This paper sets up the PLS model and LS-SVM model for saccharinity prediction based on three different methods for feature band extraction: CARS, SPA and SPA-CARS [26].

The PLS fully combines the merits of principal component analysis (PCA), multiple linear regression (MLR) and canonical correlation analysis (CCA). It can solve many problems that cannot be handled by common regression models. By the PLS, the best mapping relationship between the dependent variable and the independent variable is found based on the least sum of squares for error (SSE). Then, a small part of the variables that represents the collinearity of most information is identified for regression analysis and modeling. In the PLS, the number of principal components means the number of combinations of weighted feature spectral data.

Based on the SVM and PLS, the LS-SVM is a kernel-based learning technique capable of solving both linear and nonlinear regression problems. The main principle is to transform the input variables into a high-dimensional feature space through nonlinear mapping, and establish the best regression function in the space. The key of the LS-SVM modelling lies in the selection of kernel functions and kernel parameters. Different choices directly affect the performance of the LS-SVM model. In this paper, radial basis function (RBF) is taken as the kernel function of the LS-SVM to reduce the computing complexity in the modelling process.

In the course of modelling, the sample set was first divided into a correction dataset and a prediction dataset. The former is used for modelling and the latter for model evaluation. The results of the two datasets demonstrate the modelling effect. In this paper, the models are evaluated by the following indices: correlation coefficient of correction dataset (R_c), root mean square error of correction dataset (R_p), and root mean square error of prediction dataset (R_p), and root mean square error of prediction dataset (RMSEP). In general, the greater the R value, the smaller the RMSE, and the better the prediction effect. The maximum value of R is 1, and the minimum value of RMSE is 0.

3. RESULTS AND ANALYSIS

3.1 Spectral features of samples

The full spectrum curves of 193 cherry tomato samples are displayed in Figure 3, where the abscissa is the wavelength and the ordinate are the spectral value. For each sample, 256 spectral values were extracted from the 256 bands, and represented as a spectral curve in Figure 3. The smoothness of the curves demonstrates that the spectral data contain a low level of noises, and are not greatly disturbed. The spectral curves of these samples were similar in shape, indicating that there are no abnormal samples. Moreover, the spectrum curves were not smooth in the front, a sign of the presence of noises, and bended up at the tail. Hence, the spectrum data of the first and last 15 bands were removed, leaving those of the 226 bands between 914.91nm and 1,661.9nm for further processing.

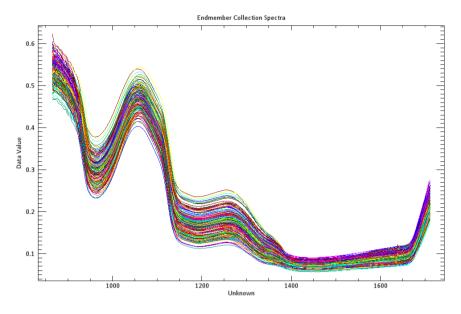


Figure 3. Spectral curves of samples

3.2 Division of sample set

For the universality of modeling, the SPXY algorithm was adopted to divide the samples of each variety into a correction

dataset and a prediction dataset at the ratio of 2:1. The correction dataset contains 130 samples, including 61 of Pink Baby, 35 of Millennium and 34 of Xiaoxia.

Table 2. The saccharinity data in the correction dataset and the prediction dataset

Dataset	Number of semples		Sac	Saccharinity %		
Dataset	Number of samples	Mean	Minimum	Maximum	Standard deviation	
Correction dataset	130	7.26	3.80	10.47	1.31	
Prediction dataset	63	7.35	4.97	9.80	1.10	

Table 2 presents the saccharinity data in the correction dataset and the prediction dataset. The large standard deviation of the correction dataset reflects that the data cover a wide range, which is good for modelling.

3.3 Results of feature band extraction

3.3.1 CARS

The feature bands were extracted after data normalization.

The number of sampling runs was set to 50. The feature bands selected by CARS are shown in Figure 4, where (a) (b) and (c) are the variations in the number of variables, the RMSECV, and the regression coefficient with the sampling runs, respectively. The minimum RMSECV (0.4789%) appeared in the 20th sampling run, between the star lines in (c). In this case, 36 wavelength variables were retained. Table 3 lists the 36 wavelengths extracted from the 226 bands.

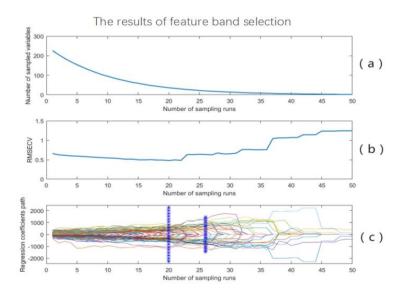


Figure 4. Feature bands extracted by CARS

Table 3. The 36 wavelengths extracted by CARS

Serial	Wavelength								
number									
1	934.83nm	9	1,001.23nm	17	1,120.75nm	25	1,293.39nm	33	1,602.15nm
2	941.47nm	10	1,007.87nm	18	1,130.71nm	26	1,139.87nm	34	1,625.39nm
3	944.79nm	11	1,014.51nm	19	1,163.91nm	27	1,363.11nm	35	1,645.31nm
4	951.43nm	12	1,017.83nm	20	1,177.19nm	28	1,456.07nm	36	1,651.95nm
5	954.75nm	13	1,044.39nm	21	1,180.51nm	29	1,539.07nm		
6	984.63nm	14	1,047.71nm	22	1,187.15nm	30	1,542.39nm		
7	987.95nm	15	1,084.23nm	23	1,220.35nm	31	1,555.67nm		
8	997.91nm	16	1,100.83nm	24	1,236.95nm	32	1,578.91nm		

3.3.2 SPA

The interval of valid wavelengths must be determined before extracting feature bands by the SPA. Here, the lower bound of the valid number of wavelengths is fixed at 1, while the upper bound is changed continuously from 5 at the step length of 5. In other words, the interval of the number of valid wavelengths was changed from 1-5, 1-10, 1-15 to 1-20. As

shown in Figure 5, the minimum RMSE (0.59706%) was observed under the interval of 1-50. The number of wavelengths (40) corresponding to the minimum RMSE was taken as the optimal number of wavelengths. The 40 feature wavelengths extracted from the 226 bands are listed in Table 4, and their distribution is illustrated in Figure 6.

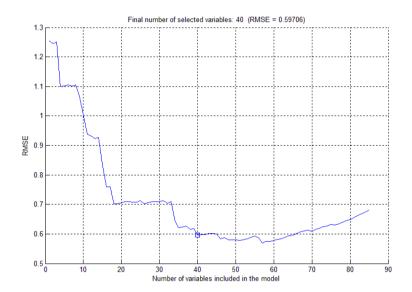


Figure 5. The relationship between RMSE and number of feature bands extracted by the SPA

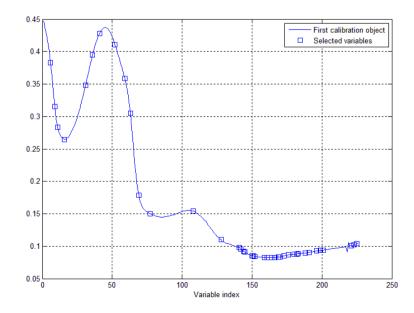


Figure 6. Feature bands extracted by SPA

Table 4. The 40 feature bands extracted by SPA

Serial number	Wavelength								
1	931.51nm	9	1,107.46nm	17	1,389.67nm	25	1,462.71nm	33	1,535.75nm
2	941.47nm	10	1,120.75nm	18	1,392.99nm	26	1,472.67nm	34	1,545.71nm
3	948.11nm	11	1,140.67nm	19	1,409.59nm	27	1,475.99nm	35	1,562.31nm
4	964.71nm	12	1,167.23nm	20	1,412.91nm	28	1,485.95nm	36	1,572.27nm
5	1,014.51nm	13	1,270.15nm	21	1,416.23nm	29	1,495.91nm	37	1,578.91nm
6	1,031.11nm	14	1,336.55nm	22	1,439.47nm	30	1,509.19nm	38	1,645.31nm
7	1,047.71nm	15	1,379.71nm	23	1,446.11nm	31	1,515.83nm	39	1,651.95nm
8	1,084.23nm	16	1,383.03nm	24	1,456.07nm	32	1,519.15nm	40	1,658.59nm

3.3.3 SPA-CARS

As mentioned before, both CARS and SPA extract a large number of feature wavelengths. To simplify the model and improve computing efficiency, the feature bands were further selected in two steps: extracting 40 feature bands by the SPA, followed by CARS extraction from the 40 bands. The number of sampling runs was still set to 50. The feature bands

extracted by SPA-CARS are presented in Figure 7, where (a) (b) and (c) are the variations in the number of variables, the RMSECV, and the regression coefficient with the sampling runs, respectively. The minimum RMSECV (0.6611%) appeared in the 3rd sampling run. A total of 35 feature bands (Table 5) was retained between the star lines.

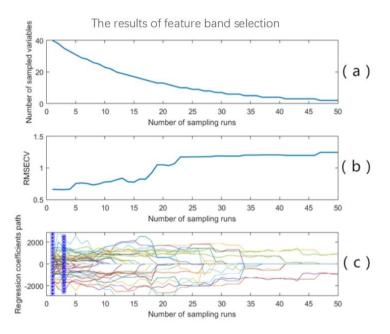


Figure 7. Feature bands extracted by SPA-CARS

Table 5. The 35 feature bands extracted by SPA-CARS

Serial	Wavelength								
number									
1	931.51nm	8	1,084.23nm	15	1,383.03nm	22	1,462.71nm	29	1,535.75nm
2	941.47nm	9	1,107.46nm	16	1,389.67nm	23	1,472.67nm	30	1,545.71nm
3	948.11nm	10	1,120.75nm	17	1,409.59nm	24	1,475.99nm	31	1,572.27nm
4	964.71nm	11	1,140.67nm	18	1,412.91nm	25	1,485.95nm	32	1,578.91nm
5	1,014.51nm	12	1,167.23nm	19	1,416.23nm	26	1,495.91nm	33	1,645.31nm
6	1,031.11nm	13	1,270.15nm	20	1,446.11nm	27	1,515.83nm	34	1,651.95nm
7	1,047.71nm	14	1,336.55nm	21	1,456.07nm	28	1,519.15nm	35	1,658.59nm

Compared with one-step extraction methods (SPA and CARS), the two-step extraction method (SPA-CARS) extracted a relatively few feature bands and had a relatively high RMSE. This means the high computing efficiency (and limited number of feature bands) of SPA-CARS comes at the cost of model accuracy. Further analysis is needed to compare the modelling effects of the feature bands extracted by the three methods.

3.4 Model results

3.4.1 Results of PLS models

The PLS algorithm was introduced to create a saccharinity prediction model based on the feature bands obtained by each of the three extraction methods. Table 6 compares the performance of the three resulting models. For simplicity, the PLS models based on the feature bands extracted by CARS,

SPA and SPA-CARS are denoted as CARS-PLS, SPA-PLS, and SPA-CARS-PLS, respectively.

It can be seen that the CARS-PLS performed well in correction and prediction, for it achieved the largest R_c and smallest RMSEC in both correction dataset and prediction dataset. Meanwhile, the SPA-CARS-PLS performed less well in correction and prediction, but consumed a shorter time, than the CARS-PLS. Hence, SPA-CARS-PLS sacrifices the model performance for computing speed.

The scatter plots of saccharinity predicted by the three models are displayed in Figure 8, where the abscissa is the true saccharinity of the 63 prediction samples, and the ordinate is the predicted saccharinity. The linearity of the distribution of scatter points is positively correlated with the prediction accuracy of the corresponding model. It is clear that the CARS-PLS had the best prediction performance. By the simplex method, the suitable parameters were selected as $\gamma=72.385$ and $\sigma^2=252$.

Table 6. Performance comparison between PLS models

Extraction method	Number of feature bands	R_c	RMSEC/Saccharinity	Rp	RMSEP/Saccharinity
CARS	36	0.9163	0.5253	0.8706	0.5753
SPA	40	0.9030	0.5638	0.8385	0.6487
SPA-CARS	35	0.8870	0.6076	0.8195	0.6982

3.4.2 Results of LS-SVM models

Next, the LS-SVM algorithm was introduced to create a saccharinity prediction model based on the feature bands obtained by each of the three extraction methods. Table 7 compares the performance of the three resulting models. For

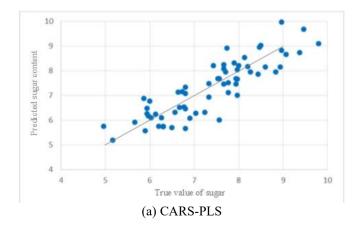
simplicity, the LS-SVM models based on the feature bands extracted by CARS, SPA and SPA-CARS are denoted as CARS-LS-SVM, SPA-LS-SVM, and SPA-CARS-LS-SVM, respectively.

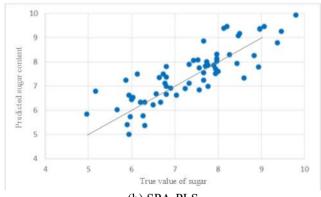
Table 7. Performance comparison between LS-SVM models

Extraction method	Number of feature bands	R_c	RMSEC/Saccharinity	R _p	RMSEP/Saccharinity
CARS	36	0.9696	0.2768	0.9220	0.4390
SPA	40	0.9504	0.4140	0.8871	0.5188
SPA-CARS	35	0.9434	0.4390	0.8850	0.5231

It can be seen that the CARS-PLS still performed well in correction and prediction, with the largest R_c and smallest RMSEC. The three LS-SVM models differed slightly in results. Their R_c values were all greater than 0.94, and their R_p values were all above 0.88. These results demonstrate the excellent modelling effect of LS-SVM. However, the LS-SVM modelling takes a relatively long time, averaging at around 200s.

The scatter plots of saccharinity predicted by the three models are displayed in Figure 9, where the abscissa is the true saccharinity of the 63 prediction samples, and the ordinate is the predicted saccharinity. Comparing the three subgraphs, it can be seen that the distribution of saccharinity predicted by the CARS-LS-SVM was more similar to a straight line than that predicted by the other two models. Hence, the CARS-LS-SVM boasts the best prediction effect among the three LS-SVM models.





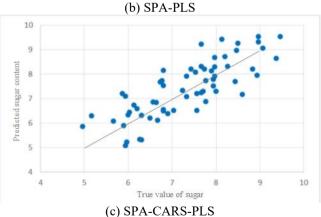
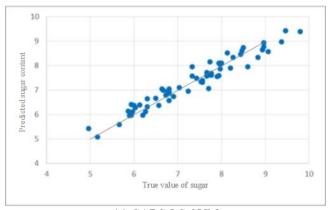
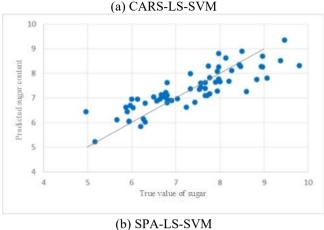
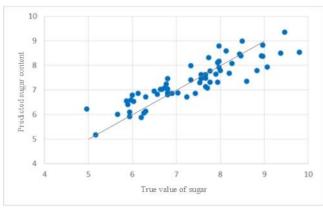


Figure 8. Scatter plots of saccharinity predicted by PLS models







(c) SPA-CARS-LS-SVM

Figure 9. Scatter plots of saccharinity predicted by LS-SVM models

4. DISCUSSION

The three PLS models and the three LS-SVM models were further compared in terms of correction performance and prediction performance. As shown in Table 8, the CARS-LS-SVM achieved the best R_p (0.9220), R_c (0.9696), RMSEC (0.2768) and RMSEP (0.4390) among all the six models. Besides, the PLS models all surpassed the LS-SVM models in correlation coefficient, i.e. the LS-SVM models are better in accuracy.

Table 8. Performance comparison between the six models

Extraction method	Modelling method	Number of feature bands	R_c	RMSEC/Saccharinity	R_p	RMSEP/Saccharinity
CARC	PLS	26	0.9163	0.5253	0.8706	0.5753
CARS	LS-SVM	36	0.9696	0.2768	0.9220	0.4390
CD A	PLS	40	0.9030	0.5638	0.8385	0.6487
SPA	LS-SVM	40	0.9504	0.4140	0.8871	0.5188
CDA CADC	PLS	25	0.8870	0.6076	0.8195	0.6982
SPA-CARS	LS-SVM	35	0.9434	0.4390	0.8850	0.5231

5. CONCLUSIONS

Based on the HSI, this paper explores the saccharinity test models of cherry tomatoes. Three extraction methods, namely, CARS, SPA and SPA-CARS, were adopted to extract the feature bands from 226 bands in the spectral curves of all cherry tomato samples. Then, the PLS and LS-SVM were separately introduced to create saccharinity prediction models based on the feature bands extracted by the above three algorithms. Comparison shows that the CARS-LS-SVM model achieved the best prediction effect, as evidenced by its R_p (0.9220), R_c (0.9696), RMSEC (0.2768) and RMSEP (0.4390). Under the same conditions, the LS-SVM models have greater correlation coefficient and better accuracy in prediction than the PLS models. The research results lay the basis for industrial grading of saccharinity of cherry tomatoes in a nondestructive manner.

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