Advanced Terminal Voltage Control of Self-Excited Induction Generators in Variable-Speed Wind Turbines Using a Three-Level NPC Converter

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ABSTRACT

This study aims to improve the terminal voltage control of a self-excited induction generator (SEIG) that operates an independent load and is supplied by a wind turbine with variable speed. A three-level neutral point clamped (3L_NPC) converter employing direct torque control (DTC) is utilized to achieve this control. Two strategies are implemented: In the first strategy, the flux is maintained constant, while in the second, the flux varies with the speed. Voltage space vector selection is used to control the electromagnetic torque, stator flux, and induction generator, aiming to reduce torque and flux ripples. The three-level converter, as opposed to the two-level version, offers an increased degree of freedom in voltage vector selection, resulting in enhanced performance. The control strategy being suggested seeks to maintain a consistent voltage level across the DC bus, irrespective of fluctuations in load and wind speed one can effectively regulate the system, by controlling the torque according to the speed. A dynamic model accounting for the saturation effect of magnetic material is developed in the $(\alpha-\beta)$ frame using the Concordia transform. The effectiveness of the proposed control strategy is validated through simulation tests conducted in Matlab/Simulink.

1. INTRODUCTION

The utilization of wind turbines as a renewable energy source has experienced significant growth in recent years, particularly in isolated or remote areas [1, 2]. In these applications, squirrel cage induction generators are frequently employed due to their robustness and low cost [3, 4]. Self-excitation in these machines can be accomplished by either linking a capacitance bank to the stator terminals or employing an inverter/rectifier system with a single DC capacitor on the DC link side [5, 6]. However, maintaining high-quality power output, such as voltage and frequency, when powered by intermittent energy resources, presents a challenge that impacts the performance of self-excited induction generators (SEIGs) [6, 7]. Consequently, these generators require advanced control methods.

Various control strategies have been proposed in the literature to ensure desired regulation. Among them, Field Oriented Control treats the induction generator as a DC machine and is based on decoupling the flux and electromagnetic torque, requiring estimation of the controlled quantities (flux and electromagnetic torque) [8-10]. This decoupling enables a fast torque response. Direct torque control (DTC), introduced by Takahashi in the mid-1980s [11], has become one of the predominant used control methods for addressing the intricacy and restrictions of traditional controls and enhancing induction generator performance [7]. DTC offers several advantages, including good torque dynamic response, high resilience, minimal complexity, a simplified induction machine model, and no need for a current regulator, PWM modulation block, speed sensor, or coordinate transformation [12]. Despite these benefits, classical DTC exhibits some drawbacks, such as the difficult of control of the switching frequency, which can lead to commutation losses and current distortions that may have an impact on the quality of the output power, and significant torque and flux ripples caused by a hysteresis controller, which impact system performance [11, 13].

To address these issues, researchers have proposed various methods. Fuzzy logic regulators have been used instead of hysteresis regulators [12, 14, 15], Ayir and Haddi [14] and Sahri et al. [16], to lessen flux fluctuations, the authors propose employing 12 sectors rather than six. A Predictive (P_DTC) control [17], and a (DTC_SVM) [13, 18], the SVM algorithm was used to replace the switching table, while PI controllers were employed in lieu of hysteresis comparators. Artificial Neural Networks (ANN) and fuzzy logic controllers were employed for improved DTC in induction motor (IM) control [19, 20].

Recently, many studies have focused on using multilevel converters as a substitute for two-level converters to overcome classical DTC problems, thanks to their benefits, such as reduced torque ripple, smoother waveforms, lower THD values for stator current, and power segmentation [15, 19, 21, 22]. These approaches have demonstrated good performance in controlling induction machines in motor operation, and many works have also been based on doubly fed induction motor/generator using more advanced strategies. In this study, the focus is on controlling a SEIG through a three-level 3L_NPC converter using DTC control technique. It is important to note that this control strategy takes into consideration the saturation effect of the SEIG, which is accounted for by the
magnetization inductance $L_m$. The representation of $L_m$ is approximated using a polynomial function of the magnetization current $i_m$.

The primary objective of this work is to evaluate the performance of this control under two strategies that depend on the reference value of stator flux. In the first strategy, the reference flux is held constant, whereas in the second strategy, the flux varies according to the driving speed. As a result, the first strategy maintains a constant saturation level of the induction generator independe...
2.2 Three-level NPC converter and DC link mode

Figure 2 displays the schematic diagram of a 3L NPC. This converter comprises a DC-link capacitor, twelve fully-controlled switches, each accompanied by a freewheeling diode, as well as two power diodes situated in each phase leg. These components enable the connection of the phase output to the midpoint of the DC bus. The twelve switches, organized in four switches per leg, as specified in Table 1 [15], allow the generation of three levels of output voltages: U_{dc/2}, 0, and -U_{dc/2}, through their combinations.

<table>
<thead>
<tr>
<th>Table 1. Switching states</th>
</tr>
</thead>
<tbody>
<tr>
<td>( S_{j1} )</td>
</tr>
<tr>
<td>---</td>
</tr>
<tr>
<td>1</td>
</tr>
<tr>
<td>0</td>
</tr>
<tr>
<td>0</td>
</tr>
</tbody>
</table>

A connection function \( S_{ij} \) is defined for every switch \( T_{ij} \):

\[
\begin{align*}
S_{ij} = 1 & \quad \text{if} \quad k_{ij} \quad \text{closed} \\
S_{ij} = 0 & \quad \text{if} \quad k_{ij} \quad \text{open}
\end{align*}
\]

With \( j=a, b, c \) and \( i=1,2,3,4 \).

The following equation defines a connection function \( F_{k}^{h} \) which is associated with every state \( h \) of the arm \( x \) [15]:

\[
\begin{align*}
F_{k_{a}}^{x} &= S_{a1} S_{x2} \\
F_{k_{b}}^{x} &= S_{b1} S_{x2} \\
F_{k_{c}}^{x} &= S_{c1} S_{x2}
\end{align*}
\]

The connection functions are used to express the three voltage levels as depicted in the following equation:

\[
v_{x0} = V_{dc} (F_{x}^{2} - F_{x}^{0})
\]

The voltages of the legs can subsequently be expressed as stated in the study [16]:

\[
\begin{align*}
V_{a} &= V_{dc} \left[ \begin{array}{c} 2 \ -1 \ -1 \\ -1 \ 2 \ -1 \end{array} \right] \left[ \begin{array}{c} F_{a}^{2} - F_{a}^{0} \\ F_{b}^{2} - F_{b}^{0} \\ F_{c}^{2} - F_{c}^{0} \end{array} \right] \\
V_{b} &= V_{dc} \left[ \begin{array}{c} -1 \ 2 \ -1 \\ -1 \ -1 \ 2 \end{array} \right] \left[ \begin{array}{c} F_{a}^{2} - F_{a}^{0} \\ F_{b}^{2} - F_{b}^{0} \\ F_{c}^{2} - F_{c}^{0} \end{array} \right]
\end{align*}
\]

The input currents of the three-phase inverter are expressed as follows:

\[
\begin{align*}
i_{d2} &= F_{a}^{2} i_{a} + F_{b}^{2} i_{b} + F_{c}^{2} i_{c} \\
i_{d1} &= F_{a}^{1} i_{a} + F_{b}^{1} i_{b} + F_{c}^{1} i_{c} \\
i_{d0} &= F_{a}^{0} i_{a} + F_{b}^{0} i_{b} + F_{c}^{0} i_{c}
\end{align*}
\]

The following equations presents relationship between the capacitor currents and the alternating currents:

\[
\begin{align*}
\left[ \begin{array}{c} i_{1} \\ i_{2} \\
i_{c1} \\
i_{c2} \end{array} \right] = \frac{1}{2} \left[ \begin{array}{cccc} F_{a}^{1} & F_{b}^{1} & F_{c}^{1} & i_{a} \\ -F_{a}^{1} & -F_{b}^{1} & -F_{c}^{1} & i_{b} \\
i_{c1} & i_{c2} \end{array} \right]
\end{align*}
\]

The equation provides the expression for the \( i_{c} \) current:

\[
i_{dc} = i_{b} - i_{c} - i_{c}.
\]

With \( i_{c} = \frac{C}{dV_{dc}/dt} \)

The equation below represents the DC voltage:

\[
V_{dc} = \frac{1}{C} \left( i_{dc} + V_{dc} \left( \frac{1}{R} + \frac{1}{i_{dc}} \right) - \frac{V_{o}}{i_{dc}} \right)
\]

where, \( V_{o} \) denotes the initial voltage across the capacitor, equivalent to the voltage of the battery.

| Table 2. DTC switching table 27 vectors |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| \( \sigma_{0} \) | \( \sigma_{Tom} \) | \( \sigma_{1} \) | \( \sigma_{2} \) | \( \sigma_{3} \) | \( \sigma_{4} \) | \( \sigma_{5} \) | \( \sigma_{6} \) | \( \sigma_{7} \) | \( \sigma_{8} \) | \( \sigma_{9} \) | \( \sigma_{10} \) | \( \sigma_{11} \) | \( \sigma_{12} \) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| +2 | V_{17} | V_{23} | V_{18} | V_{24} | V_{19} | V_{25} | V_{20} | V_{26} | V_{15} | V_{21} | V_{16} | V_{22} | V_{22} |
| +1 | V_{31} | V_{23} | V_{14} | V_{36} | V_{20} | V_{34} | V_{26} | V_{01} | V_{02} | V_{04} | V_{01} | V_{23} | V_{22} |
| 1 | 0 | V_{00} | V_{07} | V_{14} | V_{00} | V_{07} | V_{14} | V_{00} | V_{07} | V_{14} | V_{14} | V_{14} | V_{14} |
| -1 | V_{25} | V_{06} | V_{26} | V_{01} | V_{25} | V_{06} | V_{01} | V_{25} | V_{06} | V_{01} | V_{25} | V_{06} | V_{01} |
| -2 | V_{04} | V_{22} | V_{17} | V_{18} | V_{24} | V_{19} | V_{25} | V_{20} | V_{26} | V_{15} | V_{21} | V_{16} | V_{24} |
| +2 | V_{22} | V_{03} | V_{23} | V_{04} | V_{24} | V_{05} | V_{25} | V_{06} | V_{26} | V_{01} | V_{23} | V_{02} | V_{02} |
| +1 | V_{00} | V_{07} | V_{14} | V_{00} | V_{07} | V_{14} | V_{00} | V_{07} | V_{14} | V_{14} | V_{14} | V_{14} | V_{14} |
| 0 | 0 | V_{25} | V_{06} | V_{26} | V_{01} | V_{25} | V_{06} | V_{01} | V_{25} | V_{04} | V_{02} | V_{04} | V_{04} |
| -2 | V_{25} | V_{06} | V_{26} | V_{01} | V_{25} | V_{06} | V_{01} | V_{25} | V_{06} | V_{01} | V_{25} | V_{06} | V_{01} |
| -1 | V_{00} | V_{07} | V_{14} | V_{00} | V_{07} | V_{14} | V_{00} | V_{07} | V_{14} | V_{14} | V_{14} | V_{14} | V_{14} |
Upon the diode being in a blocked state, the DC voltage attains a value of \( U_{dc} \geq U_0 \). Consequently, the DC current and voltage become, respectively:

\[
i_{dc} = -i_R - i_c
\]

\[
V_{dc} = -\frac{1}{C}\left(i_{dc} + \frac{V_{dc}}{R}\right)
\]

(15)

(16)

The selection of converter switching states can be made from a switching table (refer to Table 2) [11, 23]. This table determines the set of 27 optimal voltage vectors that need to be applied to the converter at each switching instant.

2.3 Direct torque control strategy

Direct torque control (DTC) aims to directly regulate the torque of the machine, by applying the various voltage vectors of the inverter. The controlled variables are the stator flux and the electromagnetic torque which are usually controlled by hysteresis regulators. It is a matter of keeping these two instantaneous quantities within a band around the desired value [15]. The output of these regulators determines the optimal inverter voltage vector to be applied at each switching instant. The improvement of the DTC with the use of NPC is shown in this part, because this command in case the two-level converters are used, the error information of torque and flux are directly implemented to choose the switching state without distinguishing the degree between very large or relatively small error. This obviously produces an imprecise response, the performance of the system can be improved if the level degree of the inverters used is increased in order to have a wide range of selection of the voltage vectors based on the level of variation observed in the error values of torque and flux [15, 24]. Thus, the partition of the position of the flux under numerous zones (sectors), allows us to have a considerable efficiency of control at the level of the new switching algorithm. To ensure a more precise control, the space of flux evolution is divided into twelve sectors (1...12), each spanning 30 degrees. This selection is made with the intention of enhancing the overall control accuracy:

\[
\frac{-\pi}{12} + (i-1)\frac{\pi}{6} \leq S(i) < \frac{\pi}{12} + (i-1)\frac{\pi}{6}
\]

(17)

The five-level hysteresis comparator is using for controlled the electromagnetic torque, while the stator flux is controlled by the three-level hysteresis comparators as shown in the following Figure 3.

The inputs of hysteresis controllers are errors (\( e_{\phi_{sd}} \) & \( e_{\text{Tem}} \)) which are found after the comparison between the estimated values (\( \phi_{sd} \) & \( T_{em} \)) of the stator flux amplitude and the electromagnetic torque respectively and their reference signals (\( \phi_{sd, ref} \) & \( T_{em, ref} \)), while the outputs variables of the controllers are combined while the outputs variables of the controllers are combined with the position of the stator flux vector (\( Z_n \)) to form out the inputs to the switching table.

The set of voltage vectors delivered by a three-level converter (NPC) as well as the sequences of corresponding phase levels are represented by the space vector diagram as shown in Figure 4.

![Figure 3. The hysteresis controllers used to control the electromagnetic torque and stator flux](image1)

![Figure 4. Space voltage vector of 3L-NPC inverter with their switching states](image2)

Figure 4. Space voltage vector of 3L-NPC inverter with their switching states [23]

The vector representation of the SEIG serves to highlight the dynamic control requirements for the electromagnetic torque of the induction machine. In order to achieve this, we present the electrical equations of the machine within the spatial vector [7]:

\[
\overline{V_s} = R_s \overline{I_s} + \frac{d\overline{\Phi_r}}{dt}
\]

\[
0 = R_r \overline{I_r} + \frac{d\overline{\Phi_r}}{dt} - j\omega \overline{\Phi_r}
\]

(18)

The voltage vector \( V_s \), which is supplied by a 3L_NPC converter, can be expressed using the connection functions in the following form [7]:

\[
\overline{V_s} = \sqrt{\frac{2}{3}}V_{dc}(S_a + S_b + S_c) = \frac{2\pi}{3} + \frac{4\pi}{3}
\]

(19)

2.4 Stator flux and torque estimation

It is worthy noted that the stationary reference frame has using for estimating the stator flux and Tem from the expressions of stator current and stator voltage, which are given by the following equations [7]:

\[
\begin{align*}
i_{\alpha} &= \sqrt{\frac{3}{2}}i_{sa} \\
i_{\beta} &= \sqrt{\frac{3}{2}}(i_{sb} - i_{sc})
\end{align*}
\]

(20)
The magnitude of the stator flux can be expressed as follows:

\[
\Phi_s = \sqrt{\Phi_{sa}^2 + \Phi_{sb}^2}
\]  

(22)

where,

\[
\begin{align*}
\Phi_{sa} &= \int_{0}^{t} (V_{sa} - R_s i_{sa}) \, dt \\
\Phi_{sb} &= \int_{0}^{t} (V_{sb} - R_s i_{sb}) \, dt
\end{align*}
\]  

(23)

The equation of the electromagnetic torque is given from the stator flux \((\Phi_{sa}, \Phi_{sb})\) components and the stator current \((I_{sa}, I_{sb})\) as [7]:

\[
T_{em} = p(\Phi_{sa} i_{sb} - \Phi_{sb} i_{sa})
\]  

(24)

In this work two strategies are studied, depends on the reference value of stator flux. The stator flux reference is effectively taken constant, equal to the nominal value, in the first strategy, i.e.:

\[
\Phi_{s_{ref}} = \Phi_{s_{nom}} = 0.7 \text{ Wb}
\]  

(25)

In the second technique, the reference of the stator flux is taken that is inversely proportional to the rotational speed, as determined by the following relationship:

\[
\Phi_{s_{ref}} = \frac{\omega_{nom}}{\omega} \Phi_{s_{nom}}
\]  

(26)

3. SIMULATION RESULT

In this section, the simulation tests were conducted using the MATLAB®-SIMULINK environment of the whole system shown in Figure 4 are presented and commented. All the simulation test were carried during 10 sec. The sampling time for the control loop in all simulations is 10μs. The DTC control strategy is applied in the case of squirrel cage induction machine whose main parameters are shown in Table 3.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rated power</td>
<td>5.5 kW</td>
</tr>
<tr>
<td>Rated voltage and current</td>
<td>230/400 V 23.8/13.7 A</td>
</tr>
<tr>
<td>Frequency</td>
<td>50 Hz</td>
</tr>
<tr>
<td>Rotation speed</td>
<td>690 rpm</td>
</tr>
<tr>
<td>Inertia</td>
<td>0.230 kg.m²</td>
</tr>
<tr>
<td>Friction</td>
<td>0.0025 N.m/rads⁻¹</td>
</tr>
<tr>
<td>Stator resistance (R_s)</td>
<td>1.07131 Ω</td>
</tr>
<tr>
<td>Rotor resistance (R_r)</td>
<td>1.29511 Ω</td>
</tr>
<tr>
<td>Number of pair of poles</td>
<td>4</td>
</tr>
</tbody>
</table>

Table 3. Induction machine characteristics

The performance of the system and its control are highlighted during the tests under variation of rotation speed and load changes. During the start-up phase, the induction generator is initially driven at a synchronous speed. Subsequently, a variation is introduced according to the speed profile illustrated in Figure 5. Additionally, a load variation is applied in accordance with the following load profile shown in Figure 6.

In the following, the DC voltage reference is maintained at a fixed value of 465V, while we set the flux reference to 0.7Wb in the first strategy, (Eq. (25)) and for the second strategy the stator flux is variable according to the driving speed (Eq. (26)). Hereafter is a presentation and discussion of the results obtained; remark that figures noted with the letter (a) relate to the results obtained by the first strategy, while the figures noted with the letter (b) show the results with the second strategy, in order to be able to compare performance under the same conditions.

Figures 7(a) and (b) show that whatever the adopted strategy, the DC bus voltage perfectly follows its reference value with the speed variations and a slight overshoot that does not exceed 5% during all load variations. Nonetheless, these disturbances are rapidly attenuated and rejected.
Figure 8 shows the progression of the electromagnetic torque in response to both speed and load variations. It can be seen that the torque $T_{em}$ is influenced by these variations, but clearly, with similar way for both strategies. The stator flux magnitude is presented in Figure 9(a) for the first strategy i.e., with fixed flux value ($\Phi_s_{ref}=0.7\text{Wb}$), and Figure 9(b) for the second strategy, i.e., with variable flux. The estimated flux accurately follows its reference without exhibiting any overshoot, remaining unaffected by both speed and load variations.

**Figure 7.** DC bus voltage

**Figure 8.** The progression of the electromagnetic torque over time

**Figure 9.** The stator flux magnitude

**Figure 10.** Stator flux trajectory

**Figure 11.** The evolutions of the flux ($\Phi_s, \Phi_\varphi$)
In both strategies, the variations of the flux $\Phi_{s\beta}$ in relation to $\Phi_{s\alpha}$, as illustrated in Figure 10, exhibits a perfectly circular shape. The radius of that circle does not exceed the flux nominal value, i.e., 0.7 Wb with the first strategy (fixed flux). The flux took a few steps before reaching the reference flux magnitude (0.7 Wb) and it not follows speed variations in the first case. In the case of the second strategy, the variation of the flux is proportional to the variation of the speed. According to the relationship (26), this is entirely expected. The variations of the flux components ($\Phi_{s\alpha}, \Phi_{s\beta}$) exhibit sinusoidal waveforms, as depicted in Figure 11 and the magnitudes of this sinewaves follow the one of the stator flux.

Regarding the stator current, in Figure 12, one can see that the component of this current in ($\alpha, \beta$) reference frame, have a sinusoidal form.

![Figure 12. The evolutions of the flux (i_{s\alpha}, i_{s\beta})](image)

In Figure 13, this current is represented in abc-frames. Naturally, this current follows the speed and load changes. Moreover, its shape is sinusoidal, as shown in the zoom. Furthermore, the stator currents demonstrate a lack of harmonic components, as evidenced by the spectrum presented in Figure 14. The THD is measured to be 0.71%. The histogram in the Figure 15 indicates the THD values of these currents measured at different times according to the variations applied for the two strategies. It can be noted that the THD in the second strategy is considerably reduced in comparison with the first strategy. The stator frequency is given in the Figure 16; it seems clearly that this frequency is more sensitive to the speed that to the load change.
The magnetization inductance $L_m$ evolution is not the same for both strategies, and that represent the level of the saturation phenomenon of the induction generator, as illustrated in Figure 17.

Figure 18 is represented the voltage vector trajectory in ($\alpha$, $\beta$). The vector projections show us in a clearer way the vectors of voltages selected with the DTC control using the 3L_NPC rectifier.

![Image](image-url)

**Figure 17.** The magnetization inductance $L_m$

![Image](image-url)

**Figure 18.** The trajectory of the voltage

4. CONCLUSIONS

The objective of this paper is to enhance the performance of the SEIG in an autonomous wind system with variable speed. This is achieved by implementing direct torque control with the utilization of a three-level rectifier. The diphasic analytical model of the induction generator, in the reference frame ($\alpha$, $\beta$), is introduced taking into account the saturation effect by means of a variable magnetizing inductance. Two strategies of flux control are proposed; in the first strategy the flux is maintain constant. In the second strategy the stator flux is variable according to the driving speed.

The obtained simulation results demonstrate the effectiveness of the proposed (3L_NPC-DTC) control applied to the studied system. This control ensures better dynamic performance of a stand-alone induction generator and guarantees good regulation of the DC voltage and flux. Also, the studied system ensures significant reduction in torque and flux ripples with the two strategies, the THD of stator currents in the second strategy is considerably reduced in comparison with the first strategy.

ACKNOWLEDGMENT

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NOMENCLATURE

C: capacitor

$F_X$: connection function

$i_{a,b,c}$: alternating stator currents

$i_{c1,c2}$: current flow onto a capacitors $C_1$ and $C_2$

$i_D$: current flow onto a diode $D$

$i_{in,di,dl}$: inverter input currents

$i_{dc}$: DC bus current

$i_m$: magnetizing current

$i_r$: current flow onto a resistance $R$

$i_L$: magnetizing inductance

$i_s$: stator phase leakage inductances

$i_r$: rotor phase leakage inductances

$R$: resistance

$R_b$: battery resistance

$R_c$: rotor phase resistance

$R_s$: Stator phase resistance

$S_{ij}$: Sector

$s_{x1}$: Connection function

$T_{em-ref}$: Electromagnetic torque reference

$T_{em}$: Electromagnetic torque

$v_{a,b,c}$: Alternating stator voltages

$V_{dc}$: DC bus voltage

$V_{dc-ref}$: DC bus voltage reference

$V_0$: Initial voltage across the capacitor (i.e., the battery voltage)

$V_{m}$: voltage between the capacitor mid-point and phase $x$

Greek symbols

$\alpha$, $\beta$: ($\alpha$, $\beta$) axis components of the stator voltages
\(i_{\alpha,\beta}\) (\(\alpha-\beta\)) axis components of the stator currents
\(i_{\alpha,\beta}\) (\(\alpha-\beta\)) axis components of the rotor currents
\(i_{m,\alpha,\beta}\) (\(\alpha-\beta\)) axis components of the magnetizing currents
\(\phi_{\alpha,\beta}\) component of stator flux in the (\(\alpha, \beta\)) reference frame
\(\phi_{\text{nom}}\) nominal value of stator flux
\(\phi_{\text{sd ref}}\) stator flux reference
\(\Delta_{\text{Tem}}\) electromagnetic torque error

Subscripts

<table>
<thead>
<tr>
<th>Subscript</th>
<th>Description</th>
<th>Additional Information</th>
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</thead>
<tbody>
<tr>
<td>ANN</td>
<td>Artificial Neural Networks</td>
<td></td>
</tr>
<tr>
<td>DC</td>
<td>Direct Current</td>
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</tbody>
</table>

APPENDIX

MATLAB®-SIMULINK (Licence number: 2731703).