AMSE JOURNALS-AMSE IIETA publication-2017-Series: Advances B; Vol. 60; N°1; pp 239-254 Submitted Jan. 2017; Revised March 15, 2017, Accepted April 15, 2017 https://doi.org/10.18280/ama\_b.600115

# DBIECM-an Evolving Clustering Method for Streaming Data Clustering

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### Abstract

To address the problem of the difficulty of traditional clustering methods to adapt to online clustering of streaming data and on the basis of the research on the evolutionary clustering method (ECM), this paper proposes a Davies-Bouldin index evolving clustering method for streaming data clustering (DBIECM). This method has improved the updating process of the clustering center and the radius of ECM and introduced the Davies-Bouldin Index (DBI) as the evaluation criterion for data classification. Compared with the traditional clustering method, DBIECM has better adaptability for stream data clustering. The experiments show that DBIECM has a better clustering effect on the evaluation criteria of the objective function value, DBI, as well as better accuracy and purity compared with ECM.

# Key words

Streaming data, Online clustering, Evolving clustering, Davies-Bouldin Index

# **1. Introduction**

As a study focus in machine learning and data mining attracting broad and intense interest, clustering analysis aims to divide the data set into different clusters according to a certain algorithm rule, which makes the difference between different classes as large as possible and that between same classes as small as possible. Clustering analysis, as a vital technology of data mining, and has a wide range of application areas such as customer analysis, biological genetic classification, network document classification and image segmentation [1].

With the development of information technology and the large amount of streaming data, traditional algorithms such as K-MEANS [2], FCM [3], BIRCH [4] etc., which deal with static data clustering problems, are required to do cluster partitioning in a given data set in the most effective manner. Traditional clustering algorithms are poor in dealing with streaming data and thus evolutionary clustering emerges at the appropriate moment in time. The evolutionary clustering method deals with online data, and the data distribution varies over time. The system requires cluster partitioning [5] at each moment of entering new data. Song et al. proposes the evolving clustering method(ECM) [6] and applies it to the dynamic evolution nerve fuzzy inference system (DENFIS) to create the fuzzy reasoning rule [7]. With the increase of input data, ECM can dynamically increase clusters, adjust the cluster center and the radius in real time. With good adaptability, this method only processes current input data and will not reprocess processed historical data. For the clustering of evolving data, Chakrabarti et al. have studied the time serialization problem of data and proposed an evolving clustering method [8] based on a smooth time frame.

The K-Means algorithm is a classic clustering method in the field of clustering analysis, which employs distance as the evaluation indicator of similarity and mean value of sample data as the cluster center. This algorithm takes multiple iterations to obtain the minimal objective function value of clustering results. The K-Means algorithm cannot solve overlapping problems without fuzziness. As the most common fuzzy clustering method which employs function value as the clustering criterion, Fuzzy C-Means (FCM) [9] uses the membership degree to measure the degree to which each sample data point belongs. Through introducing the fuzziness of the FCM method, reference [10] proposes an online evolving fuzzy clustering method(EFCM)based on ECM. Continuing online evolving clustering ability of the ECM, this method optimizes the

clustering process by introducing fuzzy membership degree and has better clustering performance than ECM and FCM. Combining ECM and FCM [9], reference [11] adopts ECM to confirm the initial cluster center and optimizes it by employing FCM, thus achieving fuzzy clustering division, and finally realizes clustering of certainty classification through defuzzification. To solve the problem of ECM relying on a previously set threshold value Dthr and its sensitivity to input sequence, reference [12] presents a self-adaptive learning evolving clustering method (SALECM). Combining segmentation and fusion, this method can self-adaptively adjust clustering results without artificially setting parameters in the case where prior knowledge of data cannot be obtained. Reference [13] proposed a KNN evolving fuzzy clustering method(KEFCM), which employs the least square method to confirm the cluster center and the cluster radius, and becomes the enhanced version of traditional KNN machine learning methods.

Based on ECM and for better clustering performance, this paper improves the renewal process of the cluster center and the radius of ECM, optimizes the criteria of data classification in the clustering process and finally proposes an evolving clustering method for streaming data clustering(DBIECM). Taking the mean value of cluster center sample data as the cluster center, and the largest distance from cluster center sample data to its cluster center as the cluster radius, and DB 错误!未找到引用源。 as the current criteria of data classification, DBIECN determines the maximum radius in the clustering process by setting the value of the threshold Dthr.

# 2. Evolving Clustering Method

As a quick and one-pass online clustering method, ECM is used to perform dynamic clustering on streaming data with good self-adaptability, which is based on the clustering treating process of distance, and among them the cluster center represents the evolving node of the online model. In any cluster, the distances between all the sample data and the corresponding clustering center are less or equal to the preset threshold Dthr and the selection of this parameter directly affects the final clustering results.

Sample data comes from an online entered data stream during the online clustering process and the method starts from an empty cluster set. Current processed data from a newly created cluster is used to initialize the cluster center Cc, and the cluster radius Ru is initialized to zero. Some existing clusters will determine whether to update depending on the location of new data with the coming of new data. Clusters will be updated through the change of the place of the cluster center and the increase in the cluster radius. The cluster radius will not be updated when it reaches the threshold Dthr. In this paper, the distance between two q-dimensional vector samples *x* and *y* is calculated by the formula which is defined as follows:

$$d(x, y) = \left\| x - y \right\| = \left( \sum_{i=1}^{q} \left| x_i - y_i \right|^2 \right)^{\frac{1}{2}} / q^{\frac{1}{2}}.$$
(1)

Detailed steps of ECM are explained as follows:

#### Method 1 ECM

**Input:** sample data *x<sub>i</sub>* from data streaming and the threshold Dthr.

**Output:** clustering results *C* 

**Step 1:** Create the first cluster  $C_1$ . Take the first entered data point  $x_1$  as the first cluster center  $Cc_1$  and set the initial cluster radius  $Ru_1=0$ .

**Step 2:** The method is finished if all the sample data in the data streaming is disposed, otherwise, calculate the distance between current entered data  $x_i$  and all existing cluster centers  $Cc_j$  with the formula  $D_{ij}=/|x_i - Cc_j||$ , j=1, 2, ..., k, where k stands for the number of existing cluster.

**Step 3:** If the distance value  $D_{ij}$  meets  $D_{ij} \leq Ru_j$ , j=1, 2, ..., k, indicating that the current input

data  $x_i$  belongs to an existing cluster  $C_m$  and  $D_{im} = ||x_i - Cc_m|| = \min_{j=1,2,\dots,k} (||x_i - Cc_j||)$ , in this case,  $x_i \in C_m$  and then neither any new cluster is created nor any existing cluster center or radius is updated. Go back to step 2, otherwise go to the next step.

**Step 4:** Calculate the sum of the distance  $D_{ij}$  of current input data  $x_i$  and all existing cluster centers  $Cc_j$  and the corresponding cluster radius  $Ru_j$ , that is  $S_{ij} = D_{ij} + Ru_j$ , j=1, 2, ..., k. Select a cluster center  $C_a$  (The corresponding cluster center is  $Cc_a$  and the radius is  $Ru_a$ .) and make it meet  $S_{ia}=D_{ia}+Ru_a=min(S_{ij}), j=1, 2, ..., k$ .

**Step 5:** If  $S_{ia}>2 \times Dthr$  (Dthr is a given threshold in advance), then  $x_i$  doesn't belongs to any existing cluster, and employ the method in step 1 to create a new cluster, then go back to step 2.

Step 6: If  $S_{ia} \le 2 \times Dthr$ , then  $x_i \in C_a$  and cluster  $C_a$  is updated through removing its cluster center  $Cc_a$  and adding the cluster radius  $Ru_a$ . The updated cluster radius  $Ru_a^{new} = S_{ia}/2$  and remove the new cluster center  $Cc_a^{new}$  to the ligature of  $x_i$  and  $Cc_a$ . Make it meet  $||Cc_a^{new}-x_i||=Ru_a^{new}$ , and then go back to step 2.

This method has good adaptability. When streaming data continues coming in, this method only deals with current data and does not deal with processed historical data, thus saving processing time, which allows it to better fit the dynamic clustering problems of streaming data compared with the traditional clustering method. The method uses the threshold to decide whether current data belongs to any existing cluster or not, and employs the least distance to handle the classification process of current data.

## 3. DBIECM for streaming data clustering

## **3.1 Relavant Definitions**

Definition 1: Cluster center  $Cc_j$ , j=1, 2, ..., k, also the *j*th cluster center, is equal to the mean value of all the samples in the cluster; that is:

$$Cc_{j} = \frac{1}{m_{j}} \sum_{i=1}^{m_{j}} x_{i}.$$
(2)

where k is the number of clusters,  $m_j$  is the number of the *jth* cluster, and  $x_i$  the sample data of the cluster.

Definition 2: Cluster radius, also the *jth* cluster radius, means the maximum distance between the cluster center of the *jth* cluster center and the sample data of the cluster. Taking the *jth* cluster as  $C_j = \{x_i; i=1, 2, ..., m_j\}$  and the cluster center as  $C_{c_j}$ , then the cluster radius is:

$$Ru_{j} = \max_{i=1,2,\cdots,m_{i}} \{d(x_{i}, Cc_{j})\}$$
(3)

Definition 3: The Davies-Bouldin Index (DBI) is an assessment criterion based on innercluster similarity and inter-cluster difference proposed by Davies and Bouldin. During the clustering process, the clustering results are measured by the degree of difference in inter cluster data and the degree of similarity in inner cluster data. The computation formula is as follows:

$$DBI = \frac{1}{k} \sum_{i=1}^{k} \max_{\substack{j \neq i \\ j=1,2,\dots,k}} \left\{ \frac{S_i + S_j}{d(Cc_i, Cc_j)} \right\}$$
(4)

where  $d(Cc_i, Cc_j)$  is the distance between cluster centers  $Cc_i$  and  $Cc_j$ , and  $S_i$  is the standard error of the *ith* sample data from a cluster and the corresponding cluster center  $Cc_i$ ; that is, it expresses the inner-cluster similarity of the *ith* cluster. The formula is as follows:

$$S_{i} = \sqrt{\frac{1}{m_{i}} \sum_{j=1}^{m_{i}} \left| x_{j} - Cc_{i} \right|^{2}}$$
(5)

where  $m_i$  is the number of samples in the *ith* cluster.

For clustering results, DBI becomes smaller and the clustering quality becomes better when the similarity of the inner-cluster and the difference of the inter-cluster becomes higher.

### **3.2 DBIECM**

In order to obtain better online clustering results for streaming data, a new online evolving clustering method DBIECM suitable for streaming data is proposed through improving ECM while retaining its advantages such as speediness, one-scan, adaptability, etc. DBIECM adopts the mean value of the cluster as the cluster center, the maximum distance between the sample data of the cluster and the cluster center as the cluster radius, and DBI as the classification criteria of current data. The threshold Dthr is set to control the maximum radius of the cluster during the clustering process. This method employs the presumption principle, Where for the classification process of current input data  $x_i$  in the method,  $x_i$  is presumed to belong to some existing cluster, and DBI of clustering results is calculated after belonging to each cluster. According to the assessment criterion "The smaller DBI, the better clustering results", the clustering result with the smallest DBI is taken as the clustering result for handling current input data.

The detailed steps of DBIECM for streaming data is as follows.

#### Method 2 DBIECM for streaming data clustering

**Input:** sample data *x<sub>i</sub>* from streaming data and the threshold Dthr.

**Output:** clustering result C.

**Step 1:** Create the first cluster  $C_1$ . Take the first input data point  $x_i$  as the first cluster center  $Cc_1$  and set the initial cluster radius  $Ru_1=0$ .

**Step 2:** The method is finished if all the sample data from streaming data is processed. Otherwise, calculate the distance between current input data  $x_i$  and all existing cluster centers  $Cc_j$  $D_{ij}=/|x_i - Cc_j||, j=1, 2, ..., k$ , where k is the number of existing clusters.

Step 3: This means that current input data  $x_i$  belongs to some existing cluster  $C_m$  and  $D_{im} = ||x_i - Cc_m|| = \min_{j=1,2,\dots,k} (||x_i - Cc_j||)$  if there is a distance value  $D_{ij}$  and  $D_{ij} \leq Ru_{j}$ ,  $j=1, 2, \dots, k$ . On

this occasion,  $x_i \in C_m$ , no new cluster is created and all cluster radiuses are never be updated.

Then update the cluster center of  $C_m$  by formula (2) and go back to step 2. Otherwise, go to the next step.

**Step 4:** If  $D_{ij}$ >Dthr, then  $x_i$  does not belong to any existing cluster. Create a new cluster according the method in step 1 and then go back to step 2.

**Step 5:** If there is a cluster and  $Ru_j < D_{ij} \le Dthr$ , j=1, 2, ..., k (*Dthr* is a given threshold in advance), then find all the clusters which satisfy  $Ru_j < D_{ij} \le Dthr$ , j=1, 2, ..., k and preserve the index of these clusters. Through formula (4),  $x_i$  is  $DBI_{index}$  value of clustering  $C_{index}$ . If index = arg min( $DBI_{index}$ ), then  $x_i \in C_{index}$ . Update the cluster center of  $C_{index}$  by formula (2) and update the cluster radius of  $C_{index}$  by formula (3) and go back to step 2.

DBIECM continues to maintain speediness, one process and adaptability of ECM and replaces the shortest distance criterion of ECM with DBI assessment criterion as the data classification criterion in the clustering process. which has improved and enhanced the accuracy rate in the clustering process, optimized the objective function value of clustering results and advanced the accuracy rate and purity of clustering results.

# 4. Experimental results and analysis

### **4.1 Experimental environment**

Experiments in this paper are performed in a Windows 10 (64bit) operating system and the hardware configuration of the experiment station is Intel i5-2450M CPU 2.5GHz with a memory of 10 GB. The method is written in Matlab and the software tool is MATLAB R2012a.

## **4.2 Experimental Data Sets**

To test the effectiveness of DBIECM, five standard data sets in the UCI machine learning database are used in the experimental data sets of this paper: Iris, Wine, Seeds, Glass and Breast Cancer (Details are shown in Table 1). The first row shows the data set names, and the next three rows respectively show the total number of samples, the number of attributes (including one category attribute) and the actual number of categories of corresponding data sets. Iris, Wine, Seed sand Glass are all data sets without missing value. Breast Cancer comes out of Breast Cancer Wisconsin (Original) data set, which has 699samples here 16 samples have missing value and Breast Cancer selects the remaining 683samples without missing value but have ten attributes (including category attribute) and the actual categorical number is two.

Attribute labels are added to the attributes of all data sets in Table 1 for the convenience of assessing clustering results. The category attributes of each sample in a data set are only used to assess the accuracy and the purity of the clustering method without referring to the clustering process or calculating the process of objective function value and DBI of clustering results.

Data Sets	Number of samples	Number of attributes	Number of categories
Iris	150	5	3
Wine	178	14	3
Seeds	210	8	3
Glass	214	11	6
Breast Cancer	683	10	2

Tab.1. Experimental Data Sets

# **4.3 The influence of the threshold on ECM and DBIECM**

ECM and DBIECM are sensitive to the threshold Dthr whose value will directly affect the cluster number and the size of each cluster in the clustering results. Table 2 shows the influence of different thresholds on the clustering results of ECM and DBIECM.

Tab.2. The influence of the threshold on ECM and DBIECM

Data sets	Iris			Wine			Seeds			Glass			Breast Cancer		
Dthr	1.05	0.7	0.6	97	80	50	1.6	1.3	1.0	5.85	5.75	5.65	4.5	4	3.5
cluster number of ECM	3	5	7	3	4	6	3	4	6	6	7	7	2	4	5
cluster number of DBIECM	3	4	6	3	4	5	3	5	6	6	6	7	2	3	8

### 4.4 Clustering Quality

To test the effectiveness of DBIECM, four clustering performance measurements, objective function value, DBI value, accuracy [15] and purity [16] are used to access the performance of DBIECM and ECM in this paper. For convenience, the experiment simulates streaming data through reading the streaming data in data sets by row.

In clustering analysis, objective function value *J*, which reflects similarity and difference of clusters, usually employs a total least squared error, as expressed in the following:

$$J = \sum_{i=1}^{k} \sum_{j=1}^{m_i} (\|x_j - Cc_i\|)$$
(6)

where  $m_i$  is the sample number of the *ith* cluster.

Accuracy rate is a criterion to assess clustering results quality, and the formula is as follows:

$$accuracy = \frac{\sum_{j=1}^{k} a_j}{N}.$$
(7)

where *N* is the total number of samples of experimental data, and  $a_j$  is the number of samples of the *jth* cluster in clustering results which is in line with the actual cluster. Formula (7) shows that the greater the value of  $a_j(j=1, 2, ..., k)$  in the molecular part, the larger the number of correctly classified samples, and the greater the accuracy value, the higher the clustering results accuracy and the better the clustering quality.

Another criterion to assess the clustering quality is the average purity of clustering results. The formula for this criterion is as follows:

$$purity = \frac{1}{k} \sum_{i=1}^{k} \frac{N_i^d}{N_i}.$$
(8)

where k is cluster number,  $N_i$  is the sample data number of the ith cluster, and  $N_i^d$  is the sample data number of the main cluster of the *ith* cluster.

When separately calculating the DBI value, the objective function value, the accuracy and the purity of clustering results through formulas (4), (6), (7) and (8), this paper does not take the category attribute of sample data to the final calculating process. Two points have been changed for the convenience of the experiment. Firstly, remove the category attribute of experimental data sets in Table 1 to the last attribute. Secondly, improve formula (1) as follows:

$$d(x, y) = \left\| x - y \right\| = \left( \sum_{i=1}^{(q-1)} \left| x_i - y_i \right|^2 \right)^{\frac{1}{2}} / (q-1)^{\frac{1}{2}}.$$
(9)

The distance formulae in this experiment are calculated by formula (9), which excludes the last attribute (category attribute) of the sample data.

The threshold Dthr is selected for the actual cluster number in Table 1 to figure out the five data sets Iris, Wine, Seeds, Glass and Breast Cancer. ECM and DBIECM are respectively

employed to simulate the objective function value, the accuracy and the purity of clustering results after the clustering of streaming data as shown in Table 3.

data set	Dthr	cluster		E	СМ		DBIECM					
		number	J	DBI	accuracy	purity	J	DBI	accuracy	purity		
Iris	1.05	3	57.8504	0.7525	0.7333	0.8519	49.7709	0.8542	0.8733	0.9082		
Wine	97	3	7147.1	1.4992	0.6124	0.7928	5820	0.8591	0.6798	0.8082		
Seeds	1.6	3	158.0241	0.8956	0.7048	0.8434	131.4764	0.9638	0.8381	0.8910		
Glass	5.85	6	628.1322	1.5555	0.8738	0.8736	623.9440	1.5529	0.8738	0.8742		
Breast Cancer	4.5	2	2164.5	1.4285	0.6867	0.8374	1072.8	1.3449	0.9165	0.9357		

Tab.3. Comparison of clustering results quality of ECM and DBIECM

It is difficult to represent the five high-dimensional experimental data sets visually. To obtain better intuitional clustering results, DBIECM is used to cluster five experimental data sets. The first two attributes of each sample data in clustering results are chosen for visualization. The results are shown in the following Figures.

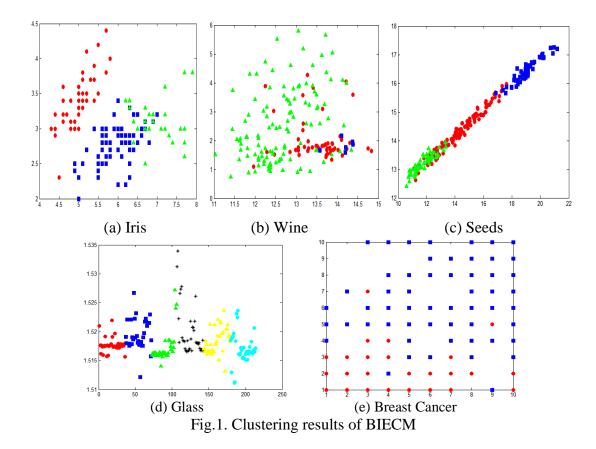


Figure 1 shows that DBIECM has good effects on solving streaming data clustering.

To better compare the performance of ECM and DBIECM, Figure 2, 3, 4 and 5 respectively reveal the objective function value, DBI value the accuracy and the purity of the two methods.

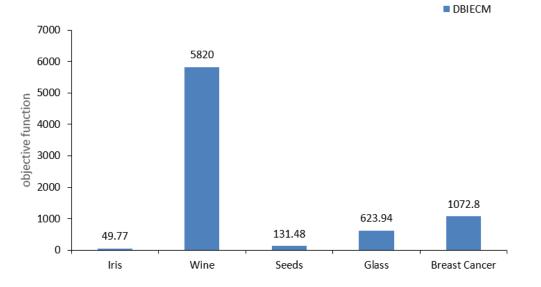


Fig.2. Comparison of objective function value of clustering results J by ECM and DBIECM

Ø ECM ■ DBIECM

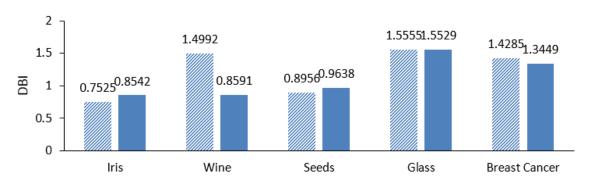


Fig.3. Comparison of DBI value of clustering results by ECM and DBIECM

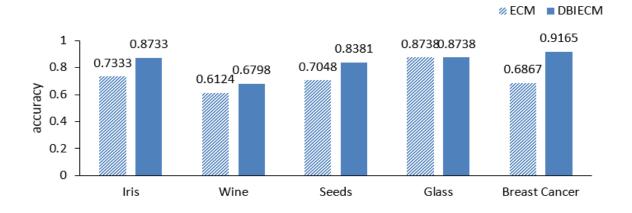


Fig.4. Comparison of accuracy of clustering results by ECM and DBIECM

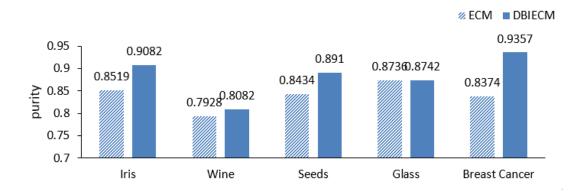


Fig.5. Comparison of purity of clustering results by ECM and DBIECM

Figure 2 shows the comparison of the objective function value of clustering results of Iris, Wine, Seeds, Glass and Breast Cancer by ECM and DBIECM. According to the clustering principle that the smaller the objective function value the better the clustering results and on the premise of choosing the same Dthr and obtaining the actual number of clusters, these five data sets separately employ DBIECM and ECM to cluster and the comparison shows that each objective function value in the clustering results by DBIECM is smaller than that by ECM. Thus we can see that, DBIECM has a better clustering effect than ECM from the assessment angle of the objective function value.

Figure 3 shows the comparison of the DBI value of clustering results of Iris, Wine, Seeds, Glass and Breast Cancer by ECM and DBIECM. According to the clustering principle that the greater the accuracy, the better the clustering results and on the premise of choosing the same DBI value and obtaining the actual number of clusters, these three data sets, Wine, Glass and Breast Cancer, separately employ DBIECM and ECM to cluster and the comparison shows that each DBI value of clustering results by DBIECM is smaller than that by ECM. Furthermore, the DBI value of Iris and Seeds by DBIECM is greater than that by ECM. Therefore, we can see that DBIECM has a slightly better effect than ECM on clustering from the assessment angle of the DBI value.

Figure 4 shows the comparison of the accuracy of clustering results of Iris, Wine, Seeds, Glass and Breast Cancer by ECM and DBIECM. According to the clustering principle that the greater the accuracy, the better the clustering results and on the premise of choosing the same DBI value and obtaining the actual number of clusters, these five data sets separately employs DBIECM and ECM to cluster and the comparison shows that the accuracy of clustering results by

DBIECM is greater than that by ECM. Thus, we can see that DBIECM is better than ECM from the assessment angle of objective function value.

Figure 5 shows the comparison of the purity of clustering results of Iris, Wine, Seeds, Glass and Breast Cancer by ECM and DBIECM. According to the clustering principle that the greater the purity the better the clustering results and on the premise of choosing the same Dthr and obtaining the actual number of clusters, these five data sets separately employ DBIECM and ECM to cluster and the comparison shows that the purity of clustering results by DBIECM is greater than that by ECM. Thus, we can see that DBIECM has a better clustering effect than ECM from the assessment angle of the purity.

The analysis above shows that for the five experimental data sets, DBICM is superior to ECM in the objective function value, and the accuracy and the purity are slightly better than ECM on DBI value. Synthetic analysis of experimental results indicate that DBIECM is much better than ECM.

# **5.** Conclusion

This paper presents a Davies-Bouldin index evolving the clustering method DBIECM which has improved the updated process of the cluster center and radius on ECM and takes DBI as classification criterion. The introduction of DBI makes the objective function value of clustering results of DBIECM better than that of ECM and has improved upon the similarity of clustering results. Furthermore, DBIECM has a better effect on the objective function value, the accuracy and the purity when compared to ECM, and it is slightly better than ECM on the DBI value. Synthetically analyzing the four assessment criteria reveals that DBIECM has a better effect than ECM. In subsequent studies the researchers want to further optimize the parameter threshold Dthr and apply DBIECM to new research areas such as image segmentation.

## Acknowledgements

We would like to thank the anonymous reviewers for their suggestions to improve this article. This work was supported by National Natural Science Foundation of China (61003130), National Science and Technology Support Program (2012BAH33F03) and Natural Science Foundation of Hubei Province (2015CFB525). Li Lin was partially supported by an award from the Wuhan Science and Technology Innovation Team Project (201307020402005).

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